FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING **ADVISERS**

Primary Business Name: TOWNSEND HOLDINGS LLC Other-Than-Annual Amendment - All Sections

Rev. 10/2021

CRD Number: 160006

9/6/2022 12:37:03 PM

WARNING:	Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your
	registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General
	Instruction 4.

Item 1 Identifying Information Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an umbrella registration, the information in Item 1 should be provided for the filing adviser only. General Instruction 5 provides information to assist you with filing an umbrella registration. Your full legal name (if you are a sole proprietor, your last, first, and middle names): TOWNSEND HOLDINGS LLC (1) Name under which you primarily conduct your advisory business, if different from Item 1.A. **TOWNSEND HOLDINGS LLC** List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business. (2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box \Box If you check this box, complete a Schedule R for each relying adviser. C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of \square your legal name **or** \square your primary business name: D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-72968 (2) If you report to the SEC as an exempt reporting adviser, your SEC file number: (3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed E. (1) If you have a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system, your CRD number: 160006 If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates. (2) If you have additional CRD Numbers, your additional CRD numbers: No Information Filed Principal Office and Place of Business (1) Address (do not use a P.O. Box): Number and Street 1: Number and Street 2: 950 MAIN AVENUE **SUITE 1600** Citv: State: Country: ZIP+4/Postal Code: **United States CLEVELAND** Ohio 44113 If this address is a private residence, check this box: List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities,

you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

	, , , , , , , , , , , , , , , , , , , ,								
	(2) Days of week that yo	u normally conduct be	usiness at your <i>principal office</i>	e and place of business:					
	Monday - Friday	Other:							
	Normal business hou	rs at this location:							
	8:30 AM - 5:30 PM (3) Telephone number at	this location:							
	216-781-9090	tilis location.							
	(4) Facsimile number at	this location, if any:							
	216-781-1407	abou of offices other t	than wave principal office and	alogo of business of which you conduct in	, a at ma a m t				
			chan your <i>principal office and</i> ost recently completed fiscal y	<i>place of business</i> , at which you conduct inv ear?	estment				
	5	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,						
G.	Mailing address if differe	nt from your <i>principal</i>	office and place of business a	ddress:					
О.	Number and Street 1:	ie irom your principal	Number and Stree						
	City:	State:	Country:	ZIP+4/Postal Code:					
	City i	otate.	Country	Zii i i,i ostai code.					
	If this address is a privat	e residence, check th	is box: 🗆						
	If you are a cale propriets	ar atata yaya full masis	lance address if different from	n vous principal office and place of husiness	a addraga in				
Н.	Item 1.F.:	or, state your run resid	ience address, il dillerent froi	n your <i>principal office and place of busines</i> :	, address in				
	Number and Street 1:		Number and Stree	t 2:					
	City:	State:	Country:	ZIP+4/Postal Code:					
					Yes No				
I.	Do you have one or more Twitter, Facebook and Linl		on publicly available social m	edia platforms (including, but not limited to	o, o o				
	,	,							
				accounts on publicly available social media					
				which to access other information you have					
				information. You may need to list more the vailable social media platforms where you o					
			ronic mail (e-mail) addresses	of employees or the addresses of employee	e accounts on				
	publicly available social m	edia platforms.							
J.	Chief Compliance Officer								
	(1) Provide the name and	contact information of	of your Chief Compliance Offic	er. If you are an exempt reporting adviser,	you must				
	provide the contact inforn	nation for your Chief (Compliance Officer, if you have	e one. If not, you must complete Item 1.K.	below.				
	Name:		Other titles, if any:	FICE					
	AIMEE B. MAY		CHIEF COMPLIANCE OF Facsimile number, if an						
	Telephone number: 216-781-9090		216-781-1407	у.					
	Number and Street 1:		Number and Street 2:						
	950 MAIN AVENUE		SUITE 1600						
	City:	State:	Country:	ZIP+4/Postal Code:					
	CLEVELAND	Ohio	United States	44113					
	Electronic mail (e-mail) a	nddress, if Chief Comp	liance Officer has one:						
	AIMEE.MAY@AON.COM								
	(2) If your Chief Come !!	ogo Officon is seemed to	sakad ay awalaysad by any	oon other than you a malatad assessment	invoctor				
				son other than you, a related person or an vise for providing chief compliance officer s					
			dentification Number (if any):						
	Name:	Name:							
	IRS Employer Identification	on Number:							

K.	Additional Regulatory Contac respond to questions about t				ficer is authoriz	ed to receive informatio	n and	
	Name: NATALIE DONOHUE		Titles: COMPLIANO	E ASSOCIATE				
	Telephone number: 216-781-9090		Facsimile no 216-781-14	umber, if any: 07				
	Number and Street 1: 950 MAIN AVENUE		Number and SUITE 1600					
	City: CLEVELAND	State: Ohio	Country: United Stat	es	ZIP+4/Postal 44113	Code:		
	Electronic mail (e-mail) add	•	rson has one:					
							Yes	No
L.	Do you maintain some or all similar state law, somewhere		•	•	Section 204 of	the Advisers Act, or	•	0
	If "yes," complete Section 1.	L. of Schedule D.					Yes	No
М.	Are you registered with a for	eign financial regu	latory authority?				0	•
	Answer "no" if you are not re foreign financial regulatory a	=			en if you have a	n affiliate that is registe	ered witi	h a
							Yes	No
N.	Are you a public reporting co	ompany under Sec	tions 12 or 15(d) o	f the Securities Exch	ange Act of 193	34?	0	•
							Yes	No
Ο.	Did you have \$1 billion or m If yes, what is the approximate			most recent fiscal ye	ar?		0	•
	C \$1 billion to less than \$	10 billion						
	C \$10 billion to less than	\$50 billion						
	C \$50 billion or more							
	For purposes of Item 1.0. or your total assets using the to						Determi	ine
P.	Provide your <i>Legal Entity Ide</i>	entifier if you have	one:					
	A legal entity identifier is a ulegal entity identifier.	inique number tha	t companies use to	identify each other	in the financial	marketplace. You may ı	not have	e a
SEC	FION 1.B. Other Business N	lames						
	your other business names and business names and business name.	nd the jurisdiction	s in which you use	them. You must con	nplete a separa	te Schedule D Section 1	.B. for	
Naı	me: THE TOWNSEND GROUP							
Jur	isdictions							
_	AL	☑ IL		☑ NE		☑ sc		
	AK	☑ IN		☑ NV		☑ SD		
V	AZ	☑ IA		☑ NH		☑ TN		

17 Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) \square (2) Bank (including a separately identifiable department or division of a bank) \square (3) Insurance broker or agent \square (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees).

Number and Street 1:

Number and Street 2:

TWO EXCHANGE SQUARE		SUITE 2903; 29TH FLO	OOR
City: CENTRAL	State:	Country: Hong Kong	ZIP+4/Postal Code:
If this address is a private residence, check the	his box:		
Telephone Number: +852-3468-5900	Facsimile Numb	•	
-	=		uthority as a branch office location for a broker, please provide the <i>CRD</i> Branch Number here:
How many <i>employees</i> perform investment ac 8	lvisory functions fro	m this office location?	
Are other business activities conducted at thi	-	heck all that apply)	
(1) Broker-dealer (registered or unregister	-		
(2) Bank (including a separately identifiable	e department or div	vision of a bank)	
(3) Insurance broker or agent	1 - 4 4	hathar a state of a co	and Canada attack
(4) Commodity pool operator or commodit	y trading advisor (v	vnetner registered or ex	(empt from registration)
☐ (5) Registered municipal advisor ☐ (6) Accountant or accounting firm			
(6) Accountant of accounting in in			
(7) Lawyer or law IIIII			
Describe any other investment-related busine	ess activities conduc	cted from this office loca	ation:
advisory business. You must complete a sepa	rate Schedule D Se	ction 1.F. for each locat	place of business, at which you conduct investment tion. If you are applying for SEC registration, if you a largest twenty-five offices (in terms of numbers of
Number and Street 1: 425 MARKET STREET		Number and Street 2 SUITE 2800	:
,	State: California	Country: United States	ZIP+4/Postal Code: 94105
If this address is a private residence, check the	his box:		
Telephone Number: 415-321-7156	Facsimile Number, i	f any:	
			uthority as a branch office location for a broker, please provide the <i>CRD</i> Branch Number here:
How many <i>employees</i> perform investment ac 10	lvisory functions fro	m this office location?	
Are other business activities conducted at thi \Box (1) Broker-dealer (registered or unregister	-	heck all that apply)	
☐ (2) Bank (including a separately identifiable ☐ (3) Insurance broker or agent		vision of a bank)	
\square (4) Commodity pool operator or commodit \square (5) Registered municipal advisor	y trading advisor (v	vhether registered or ex	kempt from registration)

\square (6) Accountant or accounting firm				
\square (7) Lawyer or law firm				
Describe any other <i>investment-related</i> busines	s activities condu	ucted from this office I	ocation:	
advisory business. You must complete a separa	ate Schedule D S	ection 1.F. for each lo	d place of business, at which you conduct investment cation. If you are applying for SEC registration, if you the largest twenty-five offices (in terms of numbers of	
Number and Street 1: 200 E RANDOLPH	I	Number and Street 2:		
,		Country: United States	ZIP+4/Postal Code: 60601	
If this address is a private residence, check this	s box: □			
Telephone Number: 13123810350	Facsimile Numbe	er, if any:		
	If this office location is also required to be registered with FINRA or a <i>state securities authority</i> as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the <i>CRD</i> Branch Number here:			
How many <i>employees</i> perform investment advi	isory functions fr	om this office location	?	
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)				
☐ (5) Registered municipal advisor ☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm				
Describe any other investment-related busines	s activities condu	ucted from this office I	ocation:	
advisory business. You must complete a separa	ate Schedule D S	ection 1.F. for each lo	d place of business, at which you conduct investment cation. If you are applying for SEC registration, if you the largest twenty-five offices (in terms of numbers of	
Number and Street 1: 20 BAY STREET		Number and Stre	et 2:	
City: TORONTO	State:	Country: Canada	ZIP+4/Postal Code: M5J 2N9	
If this address is a private residence, check this	s box: □			
Telephone Number: +14162275684	Facsimile Nu	mber, if any:		

			s authority as a branch office location for a broker-BR), please provide the <i>CRD</i> Branch Number here:
How many <i>employees</i> perform investment ad 1	visory functions	from this office locatio	n?
Are other business activities conducted at this		(check all that apply)	
\square (1) Broker-dealer (registered or unregister			
(2) Bank (including a separately identifiable	e department or	division of a bank)	
(3) Insurance broker or agent	, tunding advise.	- (b.atb.au magistamad a	u avanat from vacietystica)
(4) Commodity pool operator or commodity (5) Registered municipal advisor	y trading advisor	r (whether registered t	r exempt from registration)
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related busine	ess activities con	ducted from this office	location:
SECTION 1.I. Website Addresses			
SECTION 1.1. Website Addresses			
	ok and/or Linked		ocial media platforms where you control the content e a separate Schedule D Section 1.I. for each website
Address of Website/Account on Publicly Availa	able Social Media	a Platform: HTTP://W	WW.TOWNSENDGROUP.COM
Address of Website/Account on Publicly Availa	ıble Social Media	a Platform: https://w	ww.linkedin.com/company/the-townsend-group/about/
SECTION 1.L. Location of Books and Recor	ds		
Complete the following information for each lo of business. You must complete a separate So			and records, other than your <i>principal office and place</i> n.
Name of entity where books and records are I ACCESS RECORDS MANAGEMENT	kept:		
Number and Street 1: ONE ANDREWS CIRCLE		Number and Street	2:
City:	State:	Country:	ZIP+4/Postal Code:
BRECKSVILLE	Ohio	United States	44141
If this address is a private residence, check th	nis box:		
Telephone Number: 440-838-8611	Facsimile num	ber, if any:	
This is (check one):			
O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
~ outer.			
Briefly describe the books and records kept at	t this location.		

SOME COMPANY RECORDS ARE MAINTAI	NED AT THIS SE	CURE OFFSITE STORAGE FACILI	TY.
Name of entity where books and records TOWNSEND GROUP ASIA LTD.	are kept:		
Number and Street 1: TWO EXCHANGE SQUARE		Number and Street 2: STE 2903; 29TH FLOOI	R
City:	State:	Country:	ZIP+4/Postal Code:
CENTRAL		Hong Kong	
If this address is a private residence, che	ck this box:		
Telephone Number: +852-3468-5900		e number, if any: 022-0620	
This is (check one):			
$oldsymbol{oldsymbol{\circ}}$ one of your branch offices or affiliates	i .		
C a third-party unaffiliated recordkeepe	r.		
O other.			
Briefly describe the books and records ke SOME INFORMATION RELATED TO INVES			
Name of entity where books and records TOWNSEND HOLDINGS LLC	are kept:		
Number and Street 1: 425 MARKET STREET		Number and Street 2: SUITE 2800	
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94105
If this address is a private residence, che	ck this box:		
Telephone Number: 415-321-7156	Facsimile n	umber, if any:	
This is (check one):			
• one of your branch offices or affiliates	s.		
C a third-party unaffiliated recordkeepe	r.		
C other.			
Briefly describe the books and records ke SOME INFORMATION RELATED TO INVES			OF THIS OFFICE.
Name of entity where books and records TOWNSEND GROUP EUROPE LTD.	are kept:		
Number and Street 1: 28-29 DOVER STREET		Number and Street 2: 4TH FLOOR	
City: LONDON	State:	Country: United Kingdom	ZIP+4/Postal Code: W1S 4NA

If this address is a private residence, check t	this box:		
Telephone Number: +207-495-7784	Facsimile numbe +207-317-0559	r, if any:	
This is (check one):			
• one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept a SOME INFORMATION RELATED TO INVESTM		ONDUCTED IN THIS OFFICE.	
Name of entity where books and records are PROOFPOINT, INC	kept:		
Number and Street 1: 892 ROSS DRIVE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
SUNNYVALE	California	United States	94089
If this address is a private residence, check t	this box:		
Telephone Number: 408-517-4710	Facsimile numbe	er, if any:	
This is (check one):			
C one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept a EMAIL RETENTION	at this location.		
Name of entity where books and records are AON CHICAGO OFFICE	kept:		
Number and Street 1: 200 EAST RANDOLPH		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
CHICAGO	Illinois	United States	60601
If this address is a private residence, check t	this box:		
Telephone Number: 13123810350	Facsimile numb	per, if any:	
This is (check one):			
• one of your branch offices or affiliates.			

C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept a SOME INFORMATION RELATED TO INVESTME		INDUCTED IN THIS O	FFICE.	
Name of entity where books and records are AON CANADA OFFICE	kept:			
Number and Street 1: 20 BAY STREET		Number and St	reet 2:	
City: TORONTO	State:	Country: Canada	ZIP+4/Postal Code: M5J 2N9	
If this address is a private residence, check t	his box:			
Telephone Number: +14162275684	Facsimile nu	mber, if any:		
This is (check one):				
• one of your branch offices or affiliates.				
C a third-party unaffiliated recordkeeper.				
C other.				
Briefly describe the books and records kept a SOME INFORMATION RELATED TO INVESTME		NDUCTED IN THIS O	FFICE.	
Name of entity where books and records are GLOBAL RELAY	kept:			
Number and Street 1: 22 CAMBIE STREET		Number and St	reet 2:	
City: VANCOUVER	State:	Country: Canada	ZIP+4/Postal Code: V68 2M9	
If this address is a private residence, check t	his box:			
Telephone Number: 866-484-6630	Facsimile nu	ımber, if any:		
This is (check one):				
C one of your branch offices or affiliates.				
• a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept a EMAIL AND INSTANT MESSAGING RETENTIO				

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

No Information Filed

Item 2 SEC Registration/Reporting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an *annual updating amendment* to your SEC registration. If you are filing an *umbrella registration*, the information in Item 2 should be provided for the *filing adviser* only.

A.	sub Iter	mittin	r (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are g an <i>annual updating amendment</i> to your SEC registration and you are no longer eligible to register with the SEC, check (13). Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of ms.
	You	(the a	adviser):
	V	(1)	are a large advisory firm that either:
			(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
			(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;
		(2)	are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
			(a) not required to be registered as an adviser with the <i>state securities authority</i> of the state where you maintain your <i>principal office and place of business</i> ; or
			(b) not subject to examination by the <i>state securities authority</i> of the state where you maintain your <i>principal office and place of business</i> ;
			Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3)	Reserved
		(4)	have your principal office and place of business outside the United States;
		(5)	are an investment adviser (or subadviser) to an investment company registered under the Investment Company Act of 1940;
		(6)	are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;
		(7)	are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);
		(8)	are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
			If you check this box, complete Section 2.A.(8) of Schedule D.
		(9)	are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
			If you check this box, complete Section 2.A.(9) of Schedule D.
		(10)	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
			If you check this box, complete Section 2.A.(10) of Schedule D.
		(11)	are an Internet adviser relying on rule 203A-2(e);
		(12)	have received an SEC order exempting you from the prohibition against registration with the SEC;
			If you check this box, complete Section 2.A.(12) of Schedule D.
		(13)	are no longer eligible to remain registered with the SEC.
Sto	to Se	ocuri+	ies Authority Notice Filings and State Reporting by Exempt Reporting Advisers

C. Under state laws, SEC-registered advisers may be required to provide to *state securities authorities* a copy of the Form ADV and any amendments they file with the SEC. These are called *notice filings*. In addition, *exempt reporting advisers* may be required to provide *state securities authorities* with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your *notice filings* or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to

Jurisdictions			
□ AL		□ NE	□ sc
□ AK	□ IN	□ NV	□ SD
□ AZ	□ IA	□ NH	□ TN
□ AR	□ ks	□ NJ	□ тx
□ CA	□кү	□ NM	□ ∪т
□ со	□ LA	□ NY	□ VT
□ ст	□ ме	□ NC	□ VI
□ DE	□ MD	□ ND	□ VA
□ DC	□ MA	□ он	□ wa
□ FL	□ мі	□ ок	□ wv
□ GA	□ MN	□ OR	∥ □ wɪ
□ GU	□ MS	□ PA	□ wy
□ ні	□ мо	□ PR	
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e of Registered Investme Number of Registered Ir Number of Registered Ir	nvestment Adviser		
	unt Advison Evenostino to bo	Elicible for Commission Region	tration within 120 Davis
TON 2.4 (2) 7	nt aguicer Evnecting to be	FUGINIA TOR COMMISSION RAGIS	tration within 120 Davs
	·		
SEC registration within 12 cking the appropriate box esentations: am not registered or recivil be eligible to register undertake to withdraw f	BA-2(c), the exemption from the 20 days, you are required to makes, you will be deemed to have quired to be registered with the with the SEC within 120 days	ne prohibition on registration avai lake certain representations abou e made the required representati e SEC or a <i>state securities author</i> after the date my registration wit 120th day after my registration	lable to an adviser that expects to be eligible tyour eligibility for SEC registration. By ons. You must make both of these ity and I have a reasonable expectation that I
u are relying on rule 203 EC registration within 12 king the appropriate box esentations: am not registered or red ill be eligible to register undertake to withdraw f	BA-2(c), the exemption from the 20 days, you are required to makes, you will be deemed to have quired to be registered with the with the SEC within 120 days from SEC registration if, on the	ne prohibition on registration avai lake certain representations abou e made the required representati e SEC or a <i>state securities author</i> after the date my registration wit 120th day after my registration	lable to an adviser that expects to be eligible t your eligibility for SEC registration. By ons. You must make both of these ity and I have a reasonable expectation that I have SEC becomes effective.
u are relying on rule 203 SEC registration within 12 king the appropriate box esentations: am not registered or rec vill be eligible to register undertake to withdraw f	BA-2(c), the exemption from the 20 days, you are required to moves, you will be deemed to have quired to be registered with the with the SEC within 120 days from SEC registration if, on the BA(a) of the Advisers Act from the second s	ne prohibition on registration avai lake certain representations abou e made the required representati e SEC or a <i>state securities author</i> after the date my registration wit 120th day after my registration	lable to an adviser that expects to be eligible tyour eligibility for SEC registration. By ons. You must make both of these ity and I have a reasonable expectation that I have SEC becomes effective.

required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

 \Box I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to

· · · · · · · · · · · · · · · · · · ·
register as an investment adviser with the state securities authorities in those states.
I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
If you are submitting your annual updating amendment, you must make this representation:
Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
SECTION 2.A.(12) SEC Exemptive Order
If you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
Application Number:
803-

Item 3 Form of Organization

If you are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only.

- A. How are you organized?
 - C Corporation
 - C Sole Proprietorship
 - C Limited Liability Partnership (LLP)
 - Partnership
 - Limited Liability Company (LLC)
 - C Limited Partnership (LP)
 - Other (specify):

If you are changing your response to this Item, see Part 1A Instruction 4.

- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?

State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

Item 4 Successions

Yes No

A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)?

•

If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

SECTION 4 Successions

No Information Filed

Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

- A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.

 119
- B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?
 - (2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?
 - (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?
 - (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
 - (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
 - (6) Approximately how many firms or other persons solicit advisory clients on your behalf?

In your response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.

Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

- C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?
 - (2) Approximately what percentage of your *clients* are non-*United States persons*? 32%
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 <i>Clients</i>	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)	0		\$ 0
(b) High net worth individuals	0		\$ 0
(c) Banking or thrift institutions	0		\$ 0
(d) Investment companies	0		\$ 0
(e) Business development companies	0	1 [\$ 0
(f) Pooled investment vehicles (other than investment companies and business development companies)	37		\$ 8,452,548,152
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)	19		\$ 7,860,360,449
(h) Charitable organizations	1	V	\$ 142,328,490
(i) State or municipal <i>government entities</i> (including government pension plans)	4	ᅜ	\$ 1,803,635,666
(j) Other investment advisers	0		\$ 0
(k) Insurance companies	0		\$ 0
(I) Sovereign wealth funds and foreign official institutions	5		\$ 3,343,166,840
(m) Corporations or other businesses not listed above	0		\$ 0
(n) Other:	0		\$ 0

Compensation Arrangemen	its
-------------------------	-----

(7)

E.	You	are co	mpensated for your investment advisory services by (check all that apply):
	V	(1)	A percentage of assets under your management
	V	(2)	Hourly charges
		(3)	Subscription fees (for a newsletter or periodical)
	V	(4)	Fixed fees (other than subscription fees)
		(5)	Commissions
	$\overline{\mathbf{v}}$	(6)	Performance-hased fees

Item 5 Information About Your Advisory Business - Regulatory Assets Under Management

Regulatory Assets Under Management

Yes No

 \circ

- F. (1) Do you provide continuous and regular supervisory or management services to securities portfolios?
 - (2) If yes, what is the amount of your regulatory assets under management and total number of accounts?

U.S. Dollar Amount

Other (specify): RETAINER WITH INCREMENTAL CHARGES BASED ON SERVICES REQUESTED.

Total Number of Accounts

Discretionary: (a) \$ 16,953,384,467 (d) 54
Non-Discretionary: (b) \$ 4,648,655,130 (e) 12
Total: (c) \$ 21,602,039,597 (f) 66

Part 1A Instruction 5.b. explains how to calculate your regulatory assets under management. You must follow these instructions carefully when completing this Item.

(3) What is the approximate amount of your total regulatory assets under management (reported in Item 5.F.(2)(c) above) attributable to *clients* who are non-*United States persons*? \$ 8,097,629,852

Item 5 Information About Your Advisory Business - Advisory Activities

Advisory Activities

G. What type(s) of advisory services do you provide? Check all tha	apply.
--	--------

(1)	Financial planning services
(2)	Portfolio management for i

(2)	Portfolio	management for	individuals	and/or	small businesses
-----	-----------	----------------	-------------	--------	------------------

	 □ (3) ▼ (4) ▼ (5) □ (6) ▼ (7) □ (8) □ (9) 	Portfolio management for investment companies (as well as "business development companies" that have made a election pursuant to section 54 of the Investment Company Act of 1940) Portfolio management for pooled investment vehicles (other than investment companies) Portfolio management for businesses (other than small businesses) or institutional <i>clients</i> (other than registered investment companies and other pooled investment vehicles) Pension consulting services Selection of other advisers (including <i>private fund</i> managers) Publication of periodicals or newsletters Security ratings or pricing services	n	
		Market timing services Educational seminars/workshops		
	, ,	Other(specify):		
	company	eck Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report mber of the investment company or investment companies to which you provide advice in Section 5.G.(3) of Sched	t the	
Н.		vide financial planning services, to how many <i>clients</i> did you provide these services during your last fiscal year?		
	O 0			
	0^{-1-1}			
	0 11 -			
	0 26 -			
	_	- 250		
	C 251	- 500		
	-	than 500		
		ore than 500, how many? nd to the nearest 500)		
		sponses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a elationship with those investors.	separ	ate
I.	(1) Do yo	u participate in a <i>wrap fee program</i> ?	Yes	
1.	. , ,	participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to	O actir	• •
	as:	participate in a wrap ree program, what is the amount of your regulatory assets under management attributable to	actii	ig
	(a) <i>spo</i> \$	onsor to a wrap fee program		
	(b) poi \$	tfolio manager for a <i>wrap fee program</i> ?		
	(c) <i>spc</i> \$	nsor to and portfolio manager for the same wrap fee program?		
	If you rep	ort an amount in Item $5.I.(2)(c)$, do not report that amount in Item $5.I.(2)(a)$ or Item $5.I.(2)(b)$.		
		a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information $I.(2)$ of Schedule $D.$	n in	
		volvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mu is offered through a wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).		
1	(1) In roc	pages to Itam 4.B. of Part 24 of Form ADV do you indicate that you provide investment advice only with respect	Yes	No
J.		ponse to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect types of investments?	⊚	0
		u report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to your regulatory assets under management?	0	•
K.	Separatel	y Managed Account <i>Clients</i>		

	any custodian hold ten percent or more of this remaining amount of regulatory assets under management?		
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
L.	Marketing Activities	Yes	No
	(1) Do any of your <i>advertisements</i> include:		
	(a) Performance results?	0	0
	(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	0	0
	(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	0
	(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	0
	(e) Third-party ratings?	0	0
	(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	O	0
	(3) Do any of your advertisements include hypothetical performance ?	0	0
	(4) Do any of your advertisements include predecessor performance ?	_	_

No Information Filed

SECTION 5.I.(2) Wrap Fee Programs

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

(a)	Asse	t Type	Mid-year	End of year
	(i)	Exchange-Traded Equity Securities	0 %	0 %
	(ii)	Non Exchange-Traded Equity Securities	0 %	0 %
	(iii)	U.S. Government/Agency Bonds	0 %	0 %
	(iv)	U.S. State and Local Bonds	0 %	0 %
	(v)	Sovereign Bonds	0 %	0 %
	(vi)	Investment Grade Corporate Bonds	0 %	0 %
	(vii)	Non-Investment Grade Corporate Bonds	0 %	0 %
	(viii)	Derivatives	0 %	0 %
	(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	0 %	0 %
	(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	100 %	100 %
	(xi)	Cash and Cash Equivalents	0 %	0 %
	(xii)	Other	0 %	0 %

Generally describe any assets included in "Other"

Asse	set Type En						
(i)	Exchange-Traded Equity Securities	%					
(ii)	Non Exchange-Traded Equity Securities	%					
(iii)	U.S. Government/Agency Bonds	%					
(iv)	U.S. State and Local Bonds	%					
(v)	Sovereign Bonds	%					
(vi)	Investment Grade Corporate Bonds	%					
(vii)	Non-Investment Grade Corporate Bonds	%					
(viii)	Derivatives	%					
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%					
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%					
(xi)	Cash and Cash Equivalents	%					
(xii)	Other	%					

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

☑ No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating* amendment. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative		(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3) Derivativ	ve Exposure	s	
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative		(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

SECTION 5.K.(3) Custodians for Separately Managed Accounts

Complete a separate Schedule D Section 5.K.(3) for each custodian that holds ten percent or more of your aggregate separately manage	jed
account regulatory assets under management.	

	/ -\	Logol		af a		1:	
۱	(a)	Legal	name	OT C	ustoc	ııan	:

NORTHERN TRUST COMPANY

(b) Primary business name of custodian:

NORTHERN TRUST COMPANY

(c) The location(s) of the custodian's office(s) responsible for ${\it custody}$ of the assets :

City: State: Country: CHICAGO Illinois United States

Yes No

 \circ

- (d) Is the custodian a related person of your firm?
- (e) If the custodian is a broker-dealer, provide its SEC registration number (if any)
- (f) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)
- (g) What amount of your regulatory assets under management attributable to separately managed accounts is held at the custodian? \$ 5,218,072,491
- (a) Legal name of custodian:

BANK OF NEW YORK MELLON

/22, 1.	US PIVI	IARD - All Sect	ions toser name, amay r	23, Olgid. 160006j	
(b)	Primary business name of custoo	dian:			
	BANK OF NEW YORK MELLON				
(c)	The location(s) of the custodian's	s office(s) responsible for <i>cus</i>	tody of the assets :		
	City:	State:		Country:	
	EVERETT	Massachusetts		United States	
				Yes	No
(d)	Is the custodian a related person	of your firm?		0	•
(e)	If the custodian is a broker-dealer-	er, provide its SEC registration	n number (if any)		
(f)	If the custodian is not a broker-oidentifier (if any)	dealer, or is a broker-dealer b	ut does not have an SE	C registration number, provide its <i>legal entit</i>	У
(g)	What amount of your regulatory \$ 3,123,662,178	assets under management a	tributable to separately	managed accounts is held at the custodian	?
(a)	Legal name of custodian:				
	JP MORGAN CHASE				
(b)	Primary business name of custoo	dian:			
	JP MORGAN CHASE	6 5. ()			
(c)	The location(s) of the custodian's	s office(s) responsible for <i>cus</i>	tody of the assets :		
	City: NEW YORK	State: New York		untry: ited States	
	NEW TORK	New Tork	OII		
				Yes	No
(d)	Is the custodian a related persor	of your firm?		0	\odot
(e)	If the custodian is a broker-deale	er, provide its SEC registration	n number (if any)		
(f)	If the custodian is not a broker-oidentifier (if any)	dealer, or is a broker-dealer b	ut does not have an SE	C registration number, provide its legal entit	у
(g)	What amount of your regulatory \$ 3,977,509,700	assets under management a	tributable to separately	managed accounts is held at the custodian	?

,		, , , , , ,	
Iten	1 6 O	ther Business Activities	
In t	nis It	em, we request information about your firm's other business activities.	
Α.		are actively engaged in business as a (check all that apply): (1) broker-dealer (registered or unregistered) (2) registered representative of a broker-dealer (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify):	
		Yes	No
В.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	\odot
	(2)	If yes, is this other business your primary business?	\circ
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name.	
	(2)	Yes	No
	(3)	Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?	⊙
		If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.	
SEC	TION	I 6.A. Names of Your Other Businesses No Information Filed	
		No Information Filed	
SEC	TION	I 6.B.(2) Description of Primary Business	
Des	cribe	your primary business (not your investment advisory business):	
If y	ou en	gage in that business under a different name, provide that name:	
SEC	TION	I 6.B.(3) Description of Other Products and Services	
		other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above.	
If y	ou en	gage in that business under a different name, provide that name:	

Item 7 Financial Industry Affiliations

In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your *clients*.

A. This part of Item 7 requires you to provide information about you and your *related persons*, including foreign affiliates. Your *related persons* are all of your *advisory affiliates* and any *person* that is under common *control* with you.

You have a related person that is a (check all that apply):

- 🗹 (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
- (2) other investment adviser (including financial planners)
- ☐ (3) registered municipal advisor
- (4) registered security-based swap dealer
- (5) major security-based swap participant
- 🗹 (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- (7) futures commission merchant
- ☑ (8) banking or thrift institution
- (9) trust company
- \square (10) accountant or accounting firm
- \square (11) lawyer or law firm
- ☐ (12) insurance company or agency
- (13) pension consultant
- (14) real estate broker or dealer
- \square (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SECTION 7.A. Financial Industry Affiliations

Complete a separate Schedule D Section 7.A. for each related person listed in Item 7.A.

1. Legal Name of Related Person:

LAKE TAHOE III GP, LLC

2. Primary Business Name of Related Person:

LAKE TAHOE III GP, LLC

3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)

or

Other

4. Related Person's

	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Relation (a) (b) (c) (d) (e) (f) (g) (h) (i)	 □ other investment adviser (including financial planners) □ registered municipal advisor □ registered security-based swap dealer □ major security-based swap participant ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ futures commission merchant 		
	(I) (m) (n) (o)	real estate broker or dealer		
6.	Do v	ou control or are you controlled by the related person?	Yes	_
0.	БО у	ou control of are you controlled by the related person:	⊙	С
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	О
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custo</i> your <i>clients'</i> assets:	ody	of
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
0	(2)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	_
9.	(a) (b)	If the answer is yes, under what exemption?	0	0
	(5)	and and an analysis of the control o		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	O	⊙
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>rela person</i> is registered.	ted	
	_	No Information Filed		
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	О
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	o
1.	CFSS	Il Name of <i>Related Person</i> : SG REAL ESTATE PARTNERS II, LLC		
2.		ary Business Name of <i>Related Person</i> : SG REAL ESTATE PARTNERS II, LLC		

3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
4.			
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	5. Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or or of the investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) ☑ commodity pool operator or commodity trading advisor (whether registered or going futures commission merchant (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency (m) □ pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled sponsor, general partner, managing member (or equivalent) of pooled investments.	exempt from registration)	
6.	6. Do you control or are you controlled by the related person?	Ye: ⊙	s No
7.	7. Are you and the <i>related person</i> under common <i>control</i> ?	0	•
8.	8. (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection w <i>clients</i> ?	with advisory services you provide to $$	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to do overcome the presumption that you are not operationally independent (pursuant to related person and thus are not required to obtain a surprise examination for your maintained at the related person?	rule 206(4)-2(d)(5)) from the	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the relayour clients' assets:	ated person's office responsible for custody	y of
	Number and Street 1: Number and Street 2:	P+4/Postal Code:	
9.	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Ye:	s No
	(b) If the answer is yes, under what exemption?		~
10.	10. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial in person is registered. No Information Filed	regulatory authority with which the related	1
11.	11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	©	0
12.	12. Do you and the <i>related person</i> share the same physical location?	•	0

1.		Il Name of <i>Related Person</i> : INSEND GROUP EUROPE LIMITED		
2.		ary Business Name of <i>Related Person</i> : NSEND GROUP EUROPE LIMITED		
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Relat	ted Person is: (check all that apply)		
	(a)			
	` ,	other investment adviser (including financial planners)		
	(c) (d)	registered municipal advisor registered security-based swap dealer		
		major security-based swap participant		
	` ,	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(3)	futures commission merchant		
	(h) (i)	□ banking or thrift institution □ trust company		
	.,	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	. ,	insurance company or agency		
	(m)			
	(n) (o)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	` ,	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	•	О
7.	Are y	you and the related person under common control?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	⊙
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	tody	of
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\odot	\circ
	(b)	If the answer is yes, under what exemption? FOREIGN ENTITY		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	•	_
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>rela</i>		U

		person is registered.			
		Name of Country/English Name of Foreign Financial Regulatory Authority			
		United Kingdom - Financial Conduct Authority			
11.	Do y	you and the related person share any supervised persons?	⊙	О	
12.	Do y	you and the related person share the same physical location?	0	0	
1.		al Name of <i>Related Person</i> : I SOLUTIONS UK LIMITED			
2.		nary Business Name of <i>Related Person</i> : I SOLUTIONS UK LIMITED			
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	or Othe	⊇r			
4.		ted Person's CRD Number (if any):			
	(b)	CIK Number(s) (if any): No Information Filed			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
			Yes	No	
6.	Do y	vou control or are you controlled by the related person?	0	⊚	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	⊙	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	О	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custous clients'</i> assets: Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:	stody	of	

		If this address is a private residence, check this box: \Box		
	(-)	To the content of content of the con	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	⊚	О
	(b)	If the answer is yes, under what exemption? FOREIGN ENTITY		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>rel</i> .	ated	
		person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do y	you and the related person share any supervised persons?	•	0
	•		٠	
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of Related Person:		
	AON	SOLUTIONS IRELAND LIMITED		
2.	Prim	nary Business Name of <i>Related Person</i> :		
		SOLUTIONS IRELAND LIMITED		
3.	Dala	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
J.	-	ted refsolf's SEC The Number (ii any) (e.g., 601-, 6-, 600-, 602-)		
	or			
	Othe			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		ted Person is: (check all that apply)		
	(a) (b)			
		registered municipal advisor		
	(d)			
	(e)	☐ major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(5)	futures commission merchant		
		banking or thrift institution		
	(i)	trust company		
	(j) (k)	accountant or accounting firm lawyer or law firm		
	(K) (I)	insurance company or agency		
	(m)	. ,		
	(n)			
	(o)			
	(p)	\square sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	0	•

		clients?		
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	О	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for cus	stody	of
		your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State:Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	⊙	\circ
	(b)	If the answer is yes, under what exemption? FOREIGN ENTITY		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	•	_
	` ,	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the rel	-	О
	(5)	person is registered.	accu	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Ireland - Central Bank of Ireland		
11.	Do v	vou and the related person share any supervised persons?		
	БО ,	od dna the related person share any supervised persons.	0	⊙
12.	Do y	you and the related person share the same physical location?	0	•
3.	Prim TTG Rela - or Othe			
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		ted Person is: (check all that apply)		
	(a)	, , ,		
	(b)			
		registered municipal advisor		
	` '	registered security-based swap dealer		
	` ,	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
	. ,	banking or thrift institution		
	. ,	trust company		
	(5)	accountant or accounting firm		
	(k)	lawyer or law firm		
	. ,	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		

	(o)	\square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	\odot	\circ
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	⊙
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	c
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	stody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
	(-)	To the content of account is an investment advisory in it account from a content in 2	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	0
	(b)	If the answer is yes, under what exemption?		
10	. (a)	Is the related person registered with a foreign financial regulatory authority ?	_	_
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the re	U lated	⊚
	(2)	person is registered.	acca	
		No Information Filed		
11	. Do y	you and the related person share any supervised persons?	\odot	0
12	. Do y	you and the related person share the same physical location?	\odot	О
1.	امم ا	al Name of <i>Related Person</i> :		
1.	_	N SQUARE MANAGER II, LLC		
2.	Prim	nary Business Name of Related Person:		
	PEN	N SQUARE MANAGER II, LLC		
3.	Rela	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Oth	er		
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(h)	CIV Number(s) (if any)		
	(b)	CIK Number(s) (if any): No Information Filed		
				I
5.	Rela	ted Person is: (check all that apply)		
	(a)	· · · · · · · · · · · · · · · · · · ·		
	(b)	· · · · · · · · · · · · · · · · · · ·		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant banking or thrift institution		
	(h)	banking or thrift institution		

	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	•	0
			~	~
7.	Are	you and the related person under common control?	0	•
			~	~
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	0	•
	()	clients?		•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	0
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the	~	~
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are		
		maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i>	stody	of
		your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \square		
		If this dudices is a private residence, check this box.	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		
			0	0
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority ?	_	_
-0.			O Intod	⊙
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>reperson</i> is registered.	ateu	
		No Information Filed		
11.	Do v	you and the related person share any supervised persons?	•	_
	,		٠	О
12.	Do v	you and the related person share the same physical location?	•	_
	,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	e	О
1.	Lega	al Name of <i>Related Person</i> :		
	AON	I SECURITIES INVESTMENT MANAGEMENT INC.		
2.		nary Business Name of Related Person:		
	AON	I SECURITIES INVESTMENT MANAGEMENT INC.		
,	Dolo	stad Darson/a CEC File Number (if any) (e.g. 901 9, 966 902)		
3.	keia -	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe	⊇ Γ		
4.	Rela	eted Person's		
	(a)	CRD Number (if any):		
	-			
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		nted Person is: (check all that apply)		
	(a)			
	(D)	other investment adviser (including financial planners)		

	(c)	registered municipal advisor					
	(d)	registered security-based swap dealer					
	(e)	major security-based swap participant					
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)					
	(g)	futures commission merchant					
	(h)						
	(i)	□ trust company					
	(j)	accountant or accounting firm					
	(k)	□ lawyer or law firm					
	(I)	insurance company or agency					
	(m)						
	(n)						
	(0)						
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles					
	(1-)		Yes	No			
6.	Do y	you control or are you controlled by the related person?	0	•			
			~	•			
7.	Are	you and the related person under common control?	•	0			
			~	~			
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	O	⊙			
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0			
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custo</i> your <i>clients'</i> assets:	ody	of			
		Number and Street 1: Number and Street 2:					
		City: State: Country: ZIP+4/Postal Code:					
		If this address is a private residence, check this box: \Box					
		Y	Yes	No			
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•			
	(b)	If the answer is yes, under what exemption?					
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0			
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the relative	ted				
		person is registered.					
		Name of Country/English Name of Foreign Financial Regulatory Authority					
		Canada - British Columbia Securities Commission					
		Canada - Manitoba Securities Commission					
		Canada - Ontario Securities Commission					
		Canada - Quebec, Financial Markets Authority		-			
	_						
11.	Do y	you and the related person share any supervised persons?	\circ	\odot			
12.	Do y	you and the related person share the same physical location?	0	•			
1.		al Name of <i>Related Person</i> : VNSEND RE GLOBAL GP LIMITED					
2.	Primary Business Name of <i>Related Person</i> : TOWNSEND RE GLOBAL GP LIMITED						
3.	Rela	stad Develople CEC File Number (if any) (e.g. 901 9 966 902)					
	_	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)					

4.	Related Person's (a) CRD Number (if any):										
	(b) CIK Number(s) (if any):										
	,	No Information Filed									
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n) (o)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles									
	(p)		Yes	No							
6.	Do y	you control or are you controlled by the related person?	•	О							
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	o							
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	O	•							
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?										
	(c)										
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:									
		If this address is a private residence, check this box: \Box									
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No ⊙							
	(b)	If the answer is yes, under what exemption?	·	9							
10.	(a)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	•							
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.		٠							
	Б.	No Information Filed									
11.	11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?										
12.	Do y	you and the related person share the same physical location?	•	o							
1.	Legal Name of Related Person: JDPT MANAGER, LLC										

2.	Primary Business Name of <i>Related Person</i> : JDPT MANAGER, LLC				
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	or Othe	er			
4.		ted Person's CRD Number (if any):			
	(b)	CIK Number(s) (if any): No Information Filed			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n) (o)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant	Yes	No	
6.	Do y	ou control or are you controlled by the related person?		0	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	О	O	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i> your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	tody	of	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	_	
).	. ,	If the answer is yes, under what exemption?	0	О	
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	C ated	•	
11.	Do y	No Information Filed rou and the <i>related person</i> share any <i>supervised persons</i> ?	•	0	

12.	Do y	rou and the <i>related person</i> share the same physical location?	•	0
1. 2. 3.	Prim TOW Rela or Othe	al Name of <i>Related Person</i> : INSEND ALPHA MANAGER II, LLC Itary Business Name of <i>Related Person</i> : INSEND ALPHA MANAGER II, LLC Itary SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) Insert Section of the person's section of the person of the		
	(h)	CIV Number(c) (if any)		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer	Yes	No O
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o O	· •
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	0	•
	(b)	clients? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		

10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ated	
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	⊙	0
12.	Do y	you and the related person share the same physical location?	•	0
1.		al Name of <i>Related Person</i> : /NSEND REF GP, LLC		
2.		nary Business Name of <i>Related Person</i> : /NSEND REF GP, LLC		
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe			
4				
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(5)	No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n)	 □ other investment adviser (including financial planners) □ registered municipal advisor □ registered security-based swap dealer □ major security-based swap participant ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ futures commission merchant □ banking or thrift institution □ trust company □ accountant or accounting firm □ lawyer or law firm □ insurance company or agency □ pension consultant 	Voc	No
6.	Do y	you control or are you controlled by the related person?	Yes ⊙	No C
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	0	•
	(b)	clients? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	0
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i> your <i>clients'</i> assets:	tody	of
		Number and Street 1: Number and Street 2:		

		Cit		State:	Country:	ZIP+4/Postal Code:		
		If t	this addres	ss is a private residence, chec	ck this box: \square		V	
9.	(a)	If t	he <i>related</i>	nerson is an investment adv	riser, is it exempt from registration?		Yes	
.	. ,			is yes, under what exemption			0	0
	(5)	11 (ne answer	is yes, under what exemption	711:			
10.	(a)	Is t	he <i>related</i>	person registered with a for	reign financial regulatory authority?		0	•
	(b)	If t	he answer	is yes, list the name and cou	untry, in English of each <i>foreign finan</i>	cial regulatory authority with which the re	elated	
		per	<i>son</i> is regi	stered.	No Tofo control Elled			
11	Do v		and the reli	atad parsan share any super	No Information Filed			
11.	ро у	ou a	ind the rea	ated person share any super	viseu persons:		⊙	0
12.	Do y	ou a	and the <i>rel</i> a	ated person share the same	physical location?		•	
	,			,	, , , , , , , , , , , , , , , , , , , ,		٠	О
		. I. N	D-/-	stad Davisan				
1.	_			nted Person: ERAL PARTNER, LLC				
2.				lame of Related Person:				
	WES	T LA	KE II GEN	ERAL PARTNER, LLC				
3.	Rela	ted l	Person's SI	EC File Number (if any) (e.g.	801- 8- 866- 802-)			
5.	-	icu i	C130113 31	te the Number (ii diry) (e.g.	, 601 , 6 , 666 , 662)			
	or							
	Othe	er						
4.	Rela	ted l	Person's					
			D Number	(if any):				
	(b)	CIK	(Number(s) (if any):	No Information Filed			
					No Information Fried			
5.				(check all that apply)				
					ealer, or government securities broker	or dealer		
	(b) (c)			restment adviser (including fi nd municipal advisor	inanciai pianners)			
			_	d security-based swap deale	ır			
				curity-based swap participan				
	(f)	V	commod	ity pool operator or commod	ity trading advisor (whether registere	ed or exempt from registration)		
				ommission merchant				
				or thrift institution				
	(i) (j)		trust con	npany int or accounting firm				
				r law firm				
				e company or agency				
	(m)			consultant				
	(n)		real esta	te broker or dealer				
					nerships (or equivalent), excluding po			
	(p)	V	sponsor,	general partner, managing m	nember (or equivalent) of pooled inve	estment vehicles	Yes	No
6.	Do v	ou a	control or a	are you <i>controlled</i> by the <i>rela</i>	ated person?		_	_
-	_ _			, in the by the rela	/		⊚	О
7.	Are	you a	and the <i>re</i>	lated person under common	control?		0	•
								~
8.	(a)			ted person act as a qualified	custodian for your clients in connecti	on with advisory services you provide to	0	•
		clie	nts?					

	(b)	overcome the presumption that you are not operationally	have answered "yes," to question 8.(a) above, have you y independent (pursuant to rule 206(4)-2(d)(5)) from the orise examination for your <i>clients</i> ' funds or securities that are	0	0
	(c)		vide the location of the <i>related person's</i> office responsible for <i>custo</i>	ody	of
			Number and Street 2:		
		·	Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box	,	/ac	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exem	nt from registration?		_
	` ,	If the answer is yes, under what exemption?	perioni regionation.	0	0
	(b)	If the allswer is yes, under what exemption?			
10	(a)	Is the related person registered with a foreign financial r	regulatory authority?	_	_
10.	` ,		h of each <i>foreign financial regulatory authority</i> with which the <i>rela</i> i	O ted	⊚
	(D)	person is registered.	in or each foreign financial regulatory authority with which the relati	icu	
		· -	o Information Filed		
11.	Do y	ou and the related person share any supervised persons?		•	0
				~	~
12.	Do y	ou and the related person share the same physical location	on?	•	0
				~	~
					=
1.	Lega	Il Name of <i>Related Person</i> :			
	_	NSEND LAKE CONSTANCE GP, LTD.			
2.	Prim	ary Business Name of Related Person:			
	TOW	NSEND LAKE CONSTANCE GP, LTD.			
	. ,		2.000		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866	, 802-)		
	or				
	Othe	r			
4.	Rela	ted Person's			
	(a)	CRD Number (if any):			
	(1.)	CT(A)			
	(b)	CIK Number(s) (if any):	o Information Filed		
		IV	o Information riled		
5.	Rela	ted Person is: (check all that apply)			
	(a)	_ , , ,			
	(b)	_	rs)		
		registered municipal advisor			
	` ,	registered security-based swap dealer			
	` ,	major security-based swap participant			
	. ,		isor (whether registered or exempt from registration)		
		futures commission merchant			
	(h) (i)	_			
	(i) (j)	☐ trust company ☐ accountant or accounting firm			
		lawyer or law firm			
	(N) (I)	insurance company or agency			
	(n)	· · · · · · · · · · · · · · · · · · ·			
	(n)				
	` '	sponsor or syndicator of limited partnerships (or eq	uivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equ			
			•	/ec	No.

6.	Do y	ou control or are you controlled by the related person?	\odot	\circ
7.	Are	ou and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for cus	stody	of
		your clients' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Trains address is a private residence, effect this box.	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\circ
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the response is registered.	lated	
		person is registered. No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	•	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
1.	_	I Name of <i>Related Person</i> : F MANAGER I, LLC		
	rgo	MANAGER I, LEC		
2.		ary Business Name of <i>Related Person</i> : F MANAGER I, LLC		
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	r		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(-)	No Information Filed		
5.	(a)	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
		registered municipal advisor		
	` ′	registered security-based swap dealer		
	(e) (f)	 major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	. ,	futures commission merchant		
		banking or thrift institution		
	(i) (j)	☐ trust company ☐ accountant or accounting firm		
	(k)	□ lawyer or law firm		

	(1)	insurance company or agency		
	(m)			
	(n)			
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)		Yes	No
6.	Do y	you control or are you controlled by the related person?	•	0
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i> your <i>clients'</i> assets:	tody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?	~	~
	` ,			
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ated	
		No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	\odot	\circ
12.	Do y	you and the related person share the same physical location?	•	0
	LAKI	al Name of <i>Related Person</i> : E ERIE REAL ESTATE GENERAL PARTNER LIMITED hary Business Name of <i>Related Person</i> : E ERIE REAL ESTATE GENERAL PARTNER LIMITED		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	,	No Information Filed		
5.		ted Person is: (check all that apply)		
	(a)			
	(b) (c)	 other investment adviser (including financial planners) registered municipal advisor 		
	(c) (d)			
		major security-based swap participant		

	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	☐ trust company		
		accountant or accounting firm		
	(k)	lawyer or law firm		
	. ,	insurance company or agency		
	(m)	·		
	(n)			
	` '	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	⊙	\circ
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\circ	\odot
0	(2)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	_	_
8.	(a)	clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	\circ	\circ
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the		
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i>	tody	of
	(c)	your clients' assets:	iouy	OI
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\circ
	(b)	If the answer is yes, under what exemption?		
10.	(a)		\circ	\odot
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the real passes is registered.	ated	
		person is registered. No Information Filed		
11	Do v	ou and the related person share any supervised persons?	_	_
	БО ,	ou and the related person share any supervised persons.	0	⊙
12.	Do v	ou and the <i>related person</i> share the same physical location?	_	•
	,		U	•
1.		Il Name of <i>Related Person</i> :		
	IHE	KEY WEST SAXON GROUP, LLC		
2	Drim	ary Business Name of <i>Related Person</i> :		
۷.		KEY WEST SAXON GROUP, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	er en		
1	Dala	tod Person's		
4.		ted Person's CRD Number (if any):		
	(a)	one named (II ally).		
	(b)	CIK Number(s) (if any):		
	. ,	No Information Filed		
1				

5.	(a) (b) (c)	other investment adviser (including financial planners) registered municipal advisor					
	(g) (h) (i) (j) (k) (l) (m) (n) (o)	major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant					
6.	,	Ye	-	No C			
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	5	•			
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	5	•			
	(b)	If you are registering or registered with the SEC and you have answered "you" to question 9 (a) above have you	0	0			
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custod</i> your <i>clients'</i> assets:	dy (of			
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \square					
9.	(a)	If the related person is an investment advisor is it exempt from registration?	_	No C			
	(b)	If the answer is yes, under what exemption?		Č			
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relate person</i> is registered.	o ed	•			
11.	Do y	No Information Filed ou and the <i>related person</i> share any <i>supervised persons</i> ?	•	0			
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0			
1.	_	Il Name of <i>Related Person</i> : TOWNSEND GROUP LLC					
2.		ary Business Name of <i>Related Person</i> : TOWNSEND GROUP LLC					
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)					
	or Othe	or Other					

4.	. Related Person's (a) CRD Number (if any):										
	(b)	CIK Number(s) (if any): No Information Filed									
5.	Rela (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant									
6.		you control or are you controlled by the related person?	Yes ⊙	No C							
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•							
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	0	•							
	(b)	clients? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0							
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	tody	of							
		Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:									
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No ⊙							
	(b)	If the answer is yes, under what exemption?									
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>rel person</i> is registered. No Information Filed	C ated	•							
11.	Do y	you and the related person share any supervised persons?	•	0							
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0							
1.	_	Legal Name of <i>Related Person</i> : STRATEGIC MANAGER III, LLC									

2.	Primary Business Name of <i>Related Person</i> : STRATEGIC MANAGER III, LLC			
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
_	Rola	tod Parson icu (chack all that apply)		
5.	(a) (b) (c)	other investment adviser (including financial planners)		
	(d)	-		
	(f) (g)	 □ major security-based swap participant ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ futures commission merchant 		
	(h) (i)	banking or thrift institution trust company		
		accountant or accounting firm		
	(k) (l)	□ lawyer or law firm □ insurance company or agency		
	(m)			
	(n)			
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)	sponsor, general partitler, managing member (or equivalent) or pooled investment venicles	Yes	No
6.	Do y	ou control or are you controlled by the related person?	•	0
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	O	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets: Number and Street 1: Number 2:	tody	of
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \Box		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No
	. ,	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	_	
	. ,	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ated	٠
		No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	•	0

12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
	TOW Prim TOW	al Name of <i>Related Person</i> : //NSEND OPPORTUNITY ZONE FUND GP, LLC hary Business Name of <i>Related Person</i> : //NSEND OPPORTUNITY ZONE FUND GP, LLC ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	Othe			
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	you control or are you controlled by the related person?	⊙	О
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for customs.	C stody	of
		your <i>clients'</i> assets: Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		I this dudress is a private residence, effect this box. [_	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		

10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relati person</i> is registered.	ted	
		No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	•	О
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	О
1.		Il Name of <i>Related Person</i> : E TAHOE IV GP, LLC		
2.		ary Business Name of <i>Related Person</i> : E TAHOE IV GP, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er en		
4.		ted Person's CRD Number (if any):		
	(4)			
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n) (o)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	/as	No.
6.	Do y	sourcentral or are you controlled by the related person?	_	No C
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	О
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custo</i> your <i>clients'</i> assets:	ody	of
		Number and Street 1: Number and Street 2:		

		City		State:	Country: ZIP+4/Postal Code:			
		If t	his address is a	a private residence, che	eck this box: \square	Va		N.a
9.	(a)	If th	ne related ners	on is an investment adv	viser, is it exempt from registration?		_	No
.	. ,		•	es, under what exemption		C)	0
	(5)	1	ic dilower to ye	of ander what exempts	o			
10.	(a)	Is t	he <i>related pers</i>	on registered with a for	reign financial regulatory authority ?	c	5	•
	(b)				ountry, in English of each foreign financial regulatory author	ity with which the related	d	
		pers	son is registere	d.	No Information Filed			
11.	Do v	ou a	nd the <i>related</i> .	person share any super				_
	,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			•	2	0
12.	Do y	ou a	nd the <i>related</i>	person share the same	physical location?	e	ð	
							_	_
1.	Lega	ıl Nar	me of <i>Related I</i>	Person:				
	TOW	NSE	ND GROUP ASI	A LTD.				
	Duine	· .	Na	of Doloted Donos				
2.		-	Susiness Name ND GROUP ASI	of <i>Related Person</i> : A LTD.				
3.	Rela	ted F	Person's SEC Fi	e Number (if any) (e.g	., 801-, 8-, 866-, 802-)			
	- or							
	Othe	er						
4.			Person's					
	(a)	CRL	Number (if ar	ıy):				
	(b)	CIK	Number(s) (if	any):				
					No Information Filed			
5.	Rela	ted F	Person is: (chec	ck all that apply)				
					ealer, or government securities broker or dealer			
	(b) (c)			ent adviser (including f	financial planners)			
			_	inicipal advisor curity-based swap deale	er			
				y-based swap participa				
	٠,		commodity po	ool operator or commod	dity trading advisor (whether registered or exempt from reg	istration)		
				ission merchant				
	(h) (i)		banking or the trust company					
	(i) (j)		-	accounting firm				
			lawyer or law	=				
			insurance con	npany or agency				
	(m)		pension consu					
	(n) (o)		real estate br		parchine (or aguivalent) excluding peoled investment vehic	clos		
	(o) (p)				merships (or equivalent), excluding pooled investment vehion member (or equivalent) of pooled investment vehicles	169		
	(F)	_	,, g	, -, -, -, -, -, -, -, -, -, -, -, -, -,	, , , , , , , , , , , , , , , , , , ,	Ye	es.	No
6.	Do y	ou c	ontrol or are yo	ou <i>controlled</i> by the <i>rel</i> a	ated person?	•	Ď	0
7.	Are	you a	and the <i>related</i>	person under common	a control?	C)	⊙
Ω	(2)	Doo	es the related a	erson act as a qualified	d custodian for your <i>clients</i> in connection with advisory serv	ices vou provide to -		
8.	(a)		nts?	croorract as a qualified	a cascodian for your <i>chefic</i> s in connection with duvisory serv	ices you provide to C)	⊙

	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for your <i>clients'</i> assets:	· custody	∕ of		
		Number and Street 1: Number and Street 2:				
		City: State: Country: ZIP+4/Postal Code:				
		If this address is a private residence, check this box: \square				
			Yes	s No		
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	⊙	\circ		
	(b)	If the answer is yes, under what exemption? FOREIGN ENTITY				
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•		
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>person</i> is registered.				
	No Information Filed					
11.	Do y	you and the related person share any supervised persons?	•	0		
12.	Do y	you and the <i>related person</i> share the same physical location?	•	O		
1.		al Name of <i>Related Person</i> : CORE PLUS INVESTMENTS , LLC				
	110	CORE FEOS INVESTIBINIS , EEC				
2.	Prim	nary Business Name of <i>Related Person</i> :				
		CORE PLUS INVESTMENTS , LLC				
	D - /-	and Decords CEC File North a 155 and 1				
3.	кеіа -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or					
	Othe	er				
4.	Rela	ated Person's				
		CRD Number (if any):				
	(b)	CIK Number(s) (if any):				
	(-)	No Information Filed				
	D-1	ated Davies in (about all that apply)				
5.		ated Person is: (check all that apply)				
	(a) (b)					
	٠,					
	(c) (d)	_				
	. ,	major security-based swap participant				
	(e) (f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)				
		futures commission merchant				
	(9) (h)					
	(i)	□ trust company				
	(i) (j)	accountant or accounting firm				
		□ lawyer or law firm				
	(I)	insurance company or agency				
	(m)					
		real estate broker or dealer				
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles				
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles				

			Yes	No∥
6.	Do y	ou control or are you controlled by the related person?	⊚	O
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	О
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cut</i> your <i>clients'</i> assets:	stody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	\circ	⊙
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>reperson</i> is registered.	lated	
		No Information Filed		
11	Do v	ou and the related person share any supervised persons?	_	
11.	Бо у	ou and the related person share any supervised persons:	⊚	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	О
				=
1	Logo	Name of Polated Powers		
1.		Il Name of <i>Related Person</i> : IV KOREA FEEDER GENERAL PARTNER, LLC		
2.	Drim	ary Business Name of <i>Related Person</i> :		
۷.		IV KOREA FEEDER GENERAL PARTNER, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-	, and the same of		
	or Othe	er en		
	5 /			
4.		ted Person's CRD Number (if any):		
	(-)			
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
	(a)	\square broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	. ,	registered security-based swap dealer		
	` '	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	` '			
	,	futures commission merchant		
	` '	banking or thrift institution		
	(i) (j)	☐ trust company ☐ accountant or accounting firm		
1	(I)	L accountant of accounting initi		17

	(k)	□ lawyer or law firm		
	(1)			
	(m)	·		
	(n)			
	. ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	\odot	О
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\circ	\odot
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	⊙
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for cust	tody	of
		your <i>clients</i> ' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box	Voc	No
0	(2)	If the related percent is an investment advisor, is it exempt from registration?	_	_
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	О	О
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the rela	ated	
		person is registered.		
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	\odot	\circ
12.	Do y	you and the related person share the same physical location?	\odot	О
1	Logo	al Name of Polated Persons		
1.	_	al Name of <i>Related Person</i> : VOLTESSO GP S.A.R.L.		
		VOLIZEDEO GI SIMINIZI		
2.	Prim	nary Business Name of Related Person:		
		VOLTESSO GP S.A.R.L.		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	er		
4.		ated Person's		
	(a)	CRD Number (if any):		
	(h)	CIK Number(s) (if any):		
	(b)	No Information Filed		
		No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
-	(a)			
	(b)			
11	/			
	(c)	registered municipal advisor		

	(e)	major security-based swap participant				
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)				
	(g)	futures commission merchant				
	(h)	banking or thrift institution				
	(i)	☐ trust company				
	(j)	accountant or accounting firm				
	(k)	lawyer or law firm				
	(1)	insurance company or agency				
	(m)					
	(n)	_				
	` ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles				
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles				
	_		Yes	No		
6.	Do y	you control or are you controlled by the related person?	\odot	\circ		
7.	Are	you and the related person under common control?	\circ	\odot		
	, , , , , , , , , , , , , , , , , , , ,					
8.	(a)		\circ	\odot		
	(1.)	clients?				
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	О	\circ		
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are				
		maintained at the related person?				
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i>	todv	of		
	(-)	your <i>clients</i> ' assets:	,			
		Number and Street 1: Number and Street 2:				
		City: State: Country: ZIP+4/Postal Code:				
		If this address is a private residence, check this box: \square				
			Yes	No		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\odot		
	(b)	If the answer is yes, under what exemption?				
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	\odot		
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the relative	ated			
		person is registered.				
	_	No Information Filed				
11.	Do y	you and the related person share any supervised persons?	\odot	\circ		
12.	Do y	ou and the related person share the same physical location?	\odot	\circ		
1	Logs	Name of Related Payson.				
1.		al Name of <i>Related Person</i> : GP, LLC				
	1001					
2.	Prim	nary Business Name of Related Person:				
		GP, LLC				
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	-					
	or					
	Othe	er				
4.	Rela	ted Person's				
4.						
4.	Rela (a)	ted Person's CRD Number (if any):				
4.	Rela	ted Person's				

5.	Rela	ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	⊚	\circ
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
 related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody your clients' assets: Number and Street 1: Number and Street 2: 		stody	of	
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
		If the answer is yes, under what exemption?		
	(D)	if the answer is yes, under what exemption:		
10	(a)	Is the related person registered with a foreign financial regulatory authority ?	_	_
10.	` ,		O	⊚
	(D)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>re</i>	iateu	
11	Do v		_	_
11.	БО у	ou and the related person share any supervised persons:	⊚	О
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
1	Logs	Name of Polated Person		
1.	_			
	1011	NOLID ALITA PANACENTI, ET.		
2	Prim	ary Business Name of Related Person:		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
 Legal Name of Related Person: TOWNSEND ALPHA MANAGER III, L.P. Primary Business Name of Related Person: TOWNSEND ALPHA MANAGER III, L.P. 				
	UI			

	Other					
	Related Person's					
4.						
	(a) CRD Number (if any):					
	(b)	CIK Number(s) (if any):				
	()	No Information Filed				
5.		ed Person is: (check all that apply)				
	(a)			l		
	(b)			l		
	(c) (d)			l		
		□ registered security-based swap dealer □ major security-based swap participant		l		
	` '	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		l		
	٠,	futures commission merchant		l		
	(3)	banking or thrift institution		l		
		trust company		l		
	٠,	accountant or accounting firm				
	(k)					
		insurance company or agency				
	(m)	pension consultant				
	(n)	real estate broker or dealer				
	(o)	\square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		l		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		l		
		Yes	s No			
6.	Do yo	ou control or are you controlled by the related person?	0			
7.	Are yo	ou and the <i>related person</i> under common <i>control</i> ?	⊙			
	(2)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	_			
8.		clients?	⊚			
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you ${}^{\circ}$	0			
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the		l		
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are				
		maintained at the related person?				
		If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> your <i>clients'</i> assets:	/ Of			
		Number and Street 1: Number and Street 2:				
		City: State: Country: ZIP+4/Postal Code:		l		
		If this address is a private residence, check this box: \Box		l		
		Yes	s No			
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0			
	(b)	If the answer is yes, under what exemption?				
10.		Is the related person registered with a foreign financial regulatory authority?	⊚			
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related	1			
		<i>person</i> is registered. No Information Filed				
11	Do vo	yu and the related norsen chare any cuperised persons?	_			
11.	Бо уо	of and the related person share any supervised persons:	0			
12.	Do yo	ou and the <i>related person</i> share the same physical location?	_			
	, -	and the related person share the same physical location:	0			
1.	-	Name of <i>Related Person</i> : GP, LLC				
	INIX I C	or, LLC		1		

2.		ary Business Name of <i>Related Person</i> : GP, LLC		
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
6.		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	Yes ⊙	
7.	Are y	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i> your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	Yes	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	C	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ited	
	_	No Information Filed		
11.	ро у	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	\circ

12	. Do y	you and the related person share the same physical location?	•	0
1.		al Name of <i>Related Person</i> : FUND GP LLC		
2.		nary Business Name of <i>Related Person</i> : FUND GP LLC		
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n) (o)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer	Yes	No
6.	Do y	you control or are you controlled by the related person?	•	0
7.	Are	you and the related person under common control?	О	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	О	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cu</i> your <i>clients'</i> assets:	stody	of
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	⊚

10.		Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>rela person</i> is registered. No Information Filed	itea	
	-			
11.	Do '	you and the related person share any supervised persons?	⊙	0
12.	Do '	you and the <i>related person</i> share the same physical location?	•	0
1.		al Name of <i>Related Person</i> : N SQUARE MANAGER I, LLC		
2.		nary Business Name of <i>Related Person</i> : N SQUARE MANAGER I, LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Oth	er		
4.		ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d)	 □ other investment adviser (including financial planners) □ registered municipal advisor □ registered security-based swap dealer □ major security-based swap participant ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) □ futures commission merchant □ banking or thrift institution □ trust company □ accountant or accounting firm □ lawyer or law firm □ insurance company or agency 		
			Yes	No
6.	י סט	you control or are you controlled by the related person?	⊙	0
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i>	ody	of

		Number and Street 1: Num	per and Street 2:		
		City: State:Coun	try: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box			
	(-)	TO be a state of the state of t	Yes	s I	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from	om registration?		O
	(b)	If the answer is yes, under what exemption?			
10	(a)	Is the related person registered with a foreign financial regula	atory authority ?		_
10.			each foreign financial regulatory authority with which the related		⊚
	(b)	person is registered.	each foreigh financial regulatory authority with which the related	ı	
		•	ormation Filed		
11.	Do y	you and the related person share any supervised persons?	e		o
					_
12.	Do y	you and the related person share the same physical location?	6		o
1.	_	al Name of <i>Related Person</i> : I HEWITT INC.			
	AUN	HEWITT INC.			
2.	Prim	nary Business Name of <i>Related Person</i> :			
		I HEWITT INC.			
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 80	2-)		
	-				
	or				
	Othe	≥r			
4.	Rela	ited Person's			
		CRD Number (if any):			
	(u)	CAD Hambel (ii dily).			
	(b)	CIK Number(s) (if any):			
		No Infe	ormation Filed		
_	5 /				
5.		nted Person is: (check all that apply)	- consumition broken on dealer		
	(a) (b)	broker-dealer, municipal securities dealer, or government other investment adviser (including financial planners)	. Securities broker or dealer		
	(c)				
	(d)				
		major security-based swap participant			
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	☐ futures commission merchant			
	(h)	☐ banking or thrift institution			
	(i)	□ trust company			
	(j)	\square accountant or accounting firm			
	(k)	lawyer or law firm			
	(1)	insurance company or agency			
	(m)	•			
	(n)		and a control of the		
		sponsor or syndicator of limited partnerships (or equivalence consert partner managing member (or equivalence)			
	(p)	sponsor, general partner, managing member (or equivale	int) or pooled investment venicles Yes	_ '	N^
6.	Do v	you control or are you controlled by the related person?			_
3.	<i>D</i> 0 y	sa control of the you controlled by the related person:	С		⊚
7.	Are	you and the related person under common control?	_		_
.	0	,	•		O
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your	clients in connection with advisory services you provide to		•

 (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for your clients' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □ 9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 14. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 25. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 36. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 47. Related Person's 	custody Yes ⓒ	: N• C
(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for your clients' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: 9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 1. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	Yes ⊙ ○ ○ • related	: N• C
your <i>clients</i> ' assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: 9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>person</i> is registered. No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person</i> 's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	Yes ⊙ ○ ○ • related	: N• C
Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □ 9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 14. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 25. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 36. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	© C e related	0
City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: 9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 14. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 25. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 36. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	© C e related	0
If this address is a private residence, check this box: 9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 11. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 12. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 13. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	© C e related	0
 9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 1. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 	© C e related	0
(b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 13. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 24. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 35. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	© C e related	0
(b) If the answer is yes, under what exemption? FOREIGN ENTITY 10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 13. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 24. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 35. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	C e related	e
FOREIGN ENTITY 10. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>person</i> is registered. No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	e related	_
(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 13. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 24. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 35. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	e related	_
person is registered. No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 1. Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other	0	
 Do you and the related person share any supervised persons? Do you and the related person share the same physical location? Legal Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC Primary Business Name of Related Person: TOWNSEND GREAT LAKES AND PLAINS GP, LLC Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 	О	
 Do you and the <i>related person</i> share the same physical location? Legal Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC Primary Business Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 	0	
 Legal Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC Primary Business Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 		•
 Legal Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC Primary Business Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 		
TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other	0	Œ
TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		_
TOWNSEND GREAT LAKES AND PLAINS GP, LLC 2. Primary Business Name of <i>Related Person</i> : TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
 Primary Business Name of <i>Related Person</i>: TOWNSEND GREAT LAKES AND PLAINS GP, LLC <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other 		
TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
TOWNSEND GREAT LAKES AND PLAINS GP, LLC 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
or Other		
or Other		
Other		
A Related Person's		
4 Related Person's		
T. Nelated Ferson's		
(a) CRD Number (if any):		
(b) CIK Number(s) (if any):		
No Information Filed		
5. Related Person is: (check all that apply)		
(a) \square broker-dealer, municipal securities dealer, or government securities broker or dealer		
(b) other investment adviser (including financial planners)		
(c) \square registered municipal advisor		
(d) registered security-based swap dealer		
(e) major security-based swap participant		
(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
(g) ☐ futures commission merchant		
(h)		
(i) ☐ trust company		
(j) accountant or accounting firm		
(k) ☐ lawyer or law firm		
(I) insurance company or agency		
(m) pension consultant		
(n) real estate broker or dealer		
(o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		

	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do v	you control or are you controlled by the related person?	Yes	_
0.	D0 }	to control of are you controlled by the related person:	⊙	С
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	o	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	О	С
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cu</i> your <i>clients'</i> assets:	stody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
_			Yes	N
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	О	C
	(b)	If the answer is yes, under what exemption?		
١0.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>reperson</i> is registered.		•
		No Information Filed		
.1.	Do y	you and the related person share any supervised persons?	⊙	C
12	Do v	you and the <i>related person</i> share the same physical location?	_	_
	υ,	and the related person share the same physical location.	⊙	С
	TOW	al Name of <i>Related Person</i> : //NSEND ALPHA MANAGER I, LLC hary Business Name of <i>Related Person</i> : //NSEND ALPHA MANAGER I, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
	(a)			
	(b)			
	(c)			
	(d) (e)	registered security-based swap dealer major security-based swap participant		
	(e) (f)	 □ major security-based swap participant □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	☐ trust company		

	(j)	accountant or accounting firm			
	(k)				
	(I)	insurance company or agency			
	(m)	pension consultant			
	(n)	real estate broker or dealer			
	(o)	\square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles			
			'es	No	
6.	Do y	ou control or are you controlled by the related person?	⊙	\circ	
_					
7.	Are	you and the related person under common control?	O	⊙	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custo</i> your <i>clients'</i> assets:	dy	of	
		Number and Street 1: Number and Street 2:			
		City: State: Country: ZIP+4/Postal Code:			
		If this address is a private residence, check this box: \square	'	No	
9.	(a)	If the veleted never is an investment advisor is it events from registration?			
٠.	` ,	If the answer is yes, under what exemption?	0	0	
	(D)	If the diswer is yes, under what exemption:			
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•	
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the relative	ed	_	
		person is registered.			
	_	No Information Filed			
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	\circ	
12	Dow	ou and the related percent chare the came physical location?			
12.	<i>Б</i> о у	ou and the <i>related person</i> share the same physical location?	•	О	
1.		Il Name of <i>Related Person</i> : TRUST COMPANY			
2.		ary Business Name of <i>Related Person</i> : TRUST COMPANY			
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	or Othe	er			
4.		ted Person's CRD Number (if any):		ı	
	(b)	CIK Number(s) (if any):			
		No Information Filed			
_	D - 1	tod Ocean in (about all that and)			
5.		ted Person is: (check all that apply)			
	(a) (b)				
	(D)				

	(d)	registered security-based swap dealer		
	` ,	major security-based swap participant		
	٠,	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant banking or thrift institution		
	. ,	trust company		
		accountant or accounting firm		
	(k)			
		insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	Б.		Yes	No
6.	ро у	ou control or are you controlled by the related person?	\circ	\odot
_	A	and the valeted review and a review of the D		
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	⊚	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	o
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the	~	~
		related person and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are		
		maintained at the related person?		_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custo</i> your <i>clients'</i> assets:	ody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
		,	Vac	No
9.	(a)		Yes	_
9.	` ,	If the <i>related person</i> is an investment adviser, is it exempt from registration?	_	No C
9.	` ,		_	_
	` ,	If the <i>related person</i> is an investment adviser, is it exempt from registration?	_	0
	(b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	_
	(b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	0
10.	(b) (a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	0
10.	(b) (a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	0
10.	(b) (a) (b) Do y	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relate person</i> is registered. No Information Filed ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	0
10.	(b) (a) (b) Do y	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	0	0
10.	(b) (a) (b) Do y	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relate person</i> is registered. No Information Filed ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	0
10.	(b) (a) (b) Do y	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relate person</i> is registered. No Information Filed ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	0
10. 11. 12.	(b) (a) (b) Do y Do y	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location?	0	0
10. 11. 12.	(b) (a) (b) Do y Do y	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location?	0	0
10. 11. 12.	(b) (a) (b) Do y Lega	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location?	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega Frim	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? Il Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person:	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega Frim	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location?	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega TTG Prim	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? Il Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person:	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega TTG Prim TTG Rela	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? If Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person: GERMAN INVESTMENTS I LLC	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega TTG Prim TTG Rela - or	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? If Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person: GERMAN INVESTMENTS I LLC ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega TTG Prim TTG Rela	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? If Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person: GERMAN INVESTMENTS I LLC ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	0
10. 11. 12.	(b) (a) (b) Do y Do y Lega TTG Prim TTG Rela - or Othe	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? If Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person: GERMAN INVESTMENTS I LLC ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	0
10. 11. 12. 2.	(b) (a) (b) Do y Do y Lega TTG Prim TTG Rela - or Othe	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? If Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person: GERMAN INVESTMENTS I LLC ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	0
10. 11. 12. 2.	(b) (a) (b) Do y Do y Lega TTG Prim TTG Rela - or Othe	If the related person is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed ou and the related person share any supervised persons? ou and the related person share the same physical location? If Name of Related Person: GERMAN INVESTMENTS I LLC ary Business Name of Related Person: GERMAN INVESTMENTS I LLC ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	0

No Information Filed

5.	Rela	ted Person is: (check all that apply)		
	(a)			
	(b)			
		registered municipal advisor		
		registered security-based swap dealer		
	` '	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
	(h)			
		□ trust company		
	(i)	accountant or accounting firm		
		lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
		real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	. ,	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment venicles	V	NI.
_	Б.	and the second offerthe the detail of the 2	Yes	NO
6.	ро у	ou control or are you controlled by the related person?	⊙	\circ
7.	Are	you and the related person under common control?	\circ	\odot
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	0	•
		clients?	~	~
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	0
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the		~
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are		
		maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for cus	tody	of
		your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\circ
	(b)	If the answer is yes, under what exemption?		
	,			
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	_	_
	` ,	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>re</i> ,	O lated	⊚
	(D)	person is registered.	ateu	
		No Information Filed		
11	Do .			
11.	ро у	ou and the related person share any supervised persons?	⊚	\circ
12.	Do y	ou and the <i>related person</i> share the same physical location?	⊙	\circ
1.	Lega	Il Name of Related Person:		
	AON	ADVANTAGE FUNDS LLC		
2.	Prim	ary Business Name of <i>Related Person</i> :		
	AON	ADVANTAGE FUNDS LLC		
3.		ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 120405		

	or				
	Othe	er			
,	Dala	tod Domonia			
4.		ted Person's CRD Number (if any):]
	(a)	310335			
	(b)	CIK Number(s) (if any):		1	
	,	No Information Filed			
5.		ted Person is: (check all that apply)			
	(a)				
		other investment adviser (including financial planners)			
		registered municipal advisor			
		registered security-based swap dealer major security-based swap participant			
	(e) (f)	 major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 			
		futures commission merchant			
	(3)	banking or thrift institution			
	(i)	□ trust company			
	(j)	accountant or accounting firm			
	(k)	lawyer or law firm			
	(1)	insurance company or agency			
	(m)	pension consultant			
	(n)	real estate broker or dealer			
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles			
	Б.	and the second effects the standard energy	Yes	No	
6.	ро у	you control or are you controlled by the related person?	\circ	⊚	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	_	
			٠	О	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	О	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	tody	of	
		Number and Street 1: Number and Street 2:			
		City: State: Country: ZIP+4/Postal Code:			
		If this address is a private residence, check this box: \square	Yes	No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	C	· •	
	(b)	If the answer is yes, under what exemption?		•	
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	O	\odot	
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the rel	ated		
		person is registered. No Information Filed			
11.	Do v	you and the related person share any supervised persons?	_	_	
	20,	and the related person shall any supervised persone.	О	⊚	
12.	Do y	you and the related person share the same physical location?	0	•	
			~		
1.	Lega	al Name of <i>Related Person</i> :			

	AON	INVESTMENTS CANADA INC.		
2.		ary Business Name of <i>Related Person</i> : INVESTMENTS CANADA INC.		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	Othe	er en		
4.		ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
	(a)			
		other investment adviser (including financial planners)		
	` ,	registered municipal advisor		
	(d) (e)	☐ registered security-based swap dealer ☐ major security-based swap participant		
	` ,	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	. ,	futures commission merchant		
		banking or thrift institution		
	(i)	□ trust company		
		accountant or accounting firm		
	. ,	lawyer or law firm		
	(l) (m)	insurance company or agency pension consultant		
	(III) (n)	<u> </u>		
	. ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		\square sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	\circ	\odot
7.	Are y	you and the related person under common control?	•	o
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	_	_
0.	(a)	clients?	О	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	0
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the		
		related person and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	tody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	•	0
	(b)	If the answer is yes, under what exemption?		
		FOREIGN ENTITY		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	\odot	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ated	
		Name of Country/English Name of Foreign Financial Regulatory Authority		

	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Canada - Alberta Securities Commission		
	Canada - British Columbia Securities Commission		
	Canada - Manitoba Securities Commission		
	Canada - New Brunswick Securities Commission		
	Canada - Newfoundland and Labrador, Financial Services Regulation Division		
	Canada - Nova Scotia Securities Commission		
	Canada - Ontario Securities Commission		
	Canada - Quebec, Financial Markets Authority		
	Canada - Saskatchewan Financial Services Commission		
	Canada - Yukon Territories, Registrar of Securities		$-\parallel$
11	Do you and the <i>related person</i> share any <i>supervised persons</i> ?		_
11.	bo you and the related person share any supervised persons:	0	⊙
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : AON INVESTMENTS LIMITED		
2.	Primary Business Name of <i>Related Person</i> : AON INVESTMENTS LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the <i>related person</i> under common <i>control</i> ?	\odot	0

8.	(a)	Does the <i>related person clients</i> ?	າ act as a qualified custodiar	n for your <i>clients</i> in	connection with advisory services you provide to	О	•
	(b)	overcome the presumpt	tion that you are not operati s are not required to obtain a	ionally independent	ed "yes," to question 8.(a) above, have you (pursuant to rule 206(4)-2(d)(5)) from the cion for your <i>clients'</i> funds or securities that are	0	0
	(c)	If you have answered "y your <i>clients</i> ' assets:	yes" to question 8.(a) above	e, provide the locati	on of the <i>related person's</i> office responsible for <i>cu</i>	stody	of
		Number and Street 1:		Number and S	Street 2:		
		City:	State:	Country:	ZIP+4/Postal Code:		
		If this address is a priva	rate residence, check this bo	ох: L		Vac	. N.
9.	(a)	If the <i>related person</i> is a	an investment adviser, is it	exempt from regist	ration?	ves ②	No C
	(b)	If the answer is yes, und FOREIGN ENTITY	der what exemption?				
10.	(a)	Is the <i>related person</i> re	egistered with a foreign finar	ncial regulatory auti	nority ?	•	0
	(b)	If the answer is yes, list <i>person</i> is registered.	t the name and country, in E	English of each <i>fore</i>	ign financial regulatory authority with which the re	lated	'
			glish Name of <i>Foreign Fin</i>	nancial Regulator	v Authority		
		United Kingdom - Finan		ianciai Regulator	, numericy		
11	Do v		on share any supervised pers	cons?			_
11.	БО у	ou and the related person	m share any supervised pers	30113 :		0	⊚
12.	Do y	ou and the <i>related perso</i>	on share the same physical l	ocation?		0	•
1.		I Name of <i>Related Persor</i> SPECIAL SITUATIONS GEN					
2.		ary Business Name of <i>Re</i> SPECIAL SITUATIONS GEN					
3.	Rela -	ted Person's SEC File Nur	mber (if any) (e.g., 801-, 8-	-, 866-, 802-)			
	or						
	Othe	er					
4.	Rela	ted Person's					
		CRD Number (if any):					
	(h)	CII/ Number(a) (if any)					
	(b)	CIK Number(s) (if any):		No Information	Filed		
5.		ted Person is: (check all t			and the state of t		
	(a)		nicipal securities dealer, or go		es broker or dealer		
	` '	registered municipa	adviser (including financial pl	ianners)			
			r-based swap dealer				
	` '		sed swap participant				
	(f)		·	a advisor (whether	registered or exempt from registration)		
	٠,	futures commission		5 221.201 (MICHICI	. 15.2.3. Su G. G.Cpt Hom registration,		
	(3)	banking or thrift ins					
	(i)	trust company					
	(j)	accountant or account	ounting firm				
		☐ lawyer or law firm	<u>-</u>				
	(1)	insurance company	y or agency				
	(m)	pension consultant					

	(n)	real estate broker or dealer		
	(o)	\square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	\odot	О
	۸	way and the valeted access under consuma anti-D		
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	⊙
	(2)	Does the related person act as a qualified suctedian for your clients in connection with advisory convices you provide to	_	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	⊚
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	0
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the		~
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are		
		maintained at the related person?		_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i>	tody	of
		your <i>clients</i> ' assets: Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	О
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	⊙
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the relative	ated	
		person is registered. No Information Filed		
11	Do 1	you and the related person share any supervised persons?	_	
11.	D0 }	rou and the related person share any supervised persons:	⊚	0
12	Do v	you and the <i>related person</i> share the same physical location?	_	_
12.	ъ,	and the related person share the same physical location.	⊚	О
1.		al Name of <i>Related Person</i> :		
	LAK	E TAHOE II GP, LLC		
2	Drim	Namy Rusiness Name of Polated Person		
2.		nary Business Name of <i>Related Person</i> : E TAHOE II GP, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe			
4.	Rela	ted Person's		
''		CRD Number (if any):		
	(-)			
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Polo	ted Person is: (check all that apply)		
٥.	(a)			
	(a) (b)			
	(c)	registered municipal advisor		
	(d)			
	(e)	□ major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		

	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
		☐ lawyer or law firm ☐ insurance company or agency		
	(m)	. ,		
		real estate broker or dealer		
	(o)	\square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes ⊙	No C
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	⊚
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	tody	of
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No C
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	О	⊚
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>rel person</i> is registered.	ated	
		No Information Filed		
11.	Do y	rou and the related person share any supervised persons?	•	0
	Б.	and the state of a second control of the second state of the secon		
12.	ро у	rou and the <i>related person</i> share the same physical location?	⊙	0
1.	_	Name of Related Person:		
	WES	T LAKE GENERAL PARTNER, LLC		
2.	Prim	ary Business Name of <i>Related Person</i> :		
	WES	T LAKE GENERAL PARTNER, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	Othe			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
		No Information Fried		
5.		ted Person is: (check all that apply)		
H	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		

	(b)	\square other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
	. ,	banking or thrift institution		
	. ,	trust company		
		accountant or accounting firm		
	(k)			
		insurance company or agency		
	(m)			
	` ,	real estate broker or dealer		
	` '	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	.	
	Б.		Yes	No
6.	ро у	you control or are you controlled by the related person?	⊙	О
7.	Are	you and the related person under common control?	О	⊙
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	\circ	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	_	0
	(-)	overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the	U	U
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are		
		maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for cust	tody	of
		your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \Box		
			Vac	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		0
	(b)	If the answer is yes, under what exemption?		_
	(5)	If the dilater to year and it muc exemption.		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the rela	ated	
		person is registered.		
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	\odot	0
12.	Do y	you and the related person share the same physical location?	•	0
			~	~
1.	_	al Name of <i>Related Person</i> :		
	TOW	VNSEND STRATEGIC VENTURES GP, LLC		
2.		nary Business Name of Related Person:		
	IOW	VNSEND STRATEGIC VENTURES GP, LLC		
3.	Rela	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
J.	-	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		
	or			
	Othe	er		
4.	Rela	ated Person's		

	(b)	CIK Number(s) (if any): No Information Filed		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant		
	` '	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	_		Yes	No
6.	Do y	ou control or are you controlled by the related person?	⊙	0
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person? If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for cus	c	of
		your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(2)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	_
٦.	(a) (b)	If the answer is yes, under what exemption?	0	
	(0)	if the difference is yes, under what exemption:		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ated	
		No Information Filed		
11.	Do y	rou and the related person share any supervised persons?	\odot	О
12.	Do y	rou and the related person share the same physical location?	•	О
1.	CIF-	al Name of <i>Related Person</i> : H GP, LLC Hary Business Name of <i>Related Person</i> : H GP, LLC		

3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Othe			
	Othe			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
.	(a)			
	(b)			
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j) (k)	 accountant or accounting firm lawyer or law firm 		
	(N) (I)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	\square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	⊚	О
		and the object of a constant of the constant o		
7.	Are	you and the related person under common control?	0	⊚
	(2)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to	_	_
8.	(a)	clients?	О	⊚
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you	0	0
		overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the		~
		related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are		
		maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cus</i> your <i>clients'</i> assets:	toay	ог
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\circ
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	⊚
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the relative section of the country of	ated	
		person is registered. No Information Filed		
11	Do v	you and the related person share any supervised persons?		_
	<i>5</i> 0 y	and the related person share any supervised persons.	⊚	О
12	Do v	you and the <i>related person</i> share the same physical location?		_
	1	······································	⊚	О

1.	_	Il Name of <i>Related Person</i> : SG REAL ESTATE PARTNERS I, LLC		
2.		ary Business Name of <i>Related Person</i> : SG REAL ESTATE PARTNERS I, LLC		
3.	Relation or Other	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
4.		ted Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes ⊙	C
7.	Are	you and the related person under common control?	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>cust</i> your <i>clients'</i> assets: Number and Street 1: City: State: Number and Street 2: City: ZIP+4/Postal Code:	tody	of
		If this address is a private residence, check this box: \Box	Voc	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	NO
	(b)	If the answer is yes, under what exemption?	v	~
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>relaperson</i> is registered.	ited	

	No Information Filed		
11.	. Do you and the related person share any supervised persons?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
	Legal Name of <i>Related Person</i> : AON SECURITIES LLC Primary Business Name of <i>Related Person</i> : AON SECURITIES LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 106546 or Other		
4.	Related Person's (a) CRD Number (if any): 4138 (b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		s No
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the related person under common control?	•	О
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide t <i>clients</i> ?	° °	⊙
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for your <i>clients'</i> assets:	custody	′ of
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
11		V۵	s No

9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	⊚
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority ?	0	•
	(b)			
		person is registered. No Information Filed		
11.	Do	you and the related person share any supervised persons?	0	•
12.	Do	you and the <i>related person</i> share the same physical location?	0	⊙
1.		al Name of <i>Related Person</i> : N INVESTMENTS USA INC.		
2.		nary Business Name of <i>Related Person</i> : N INVESTMENTS USA INC.		
3.	801 or	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 1 - 17219		
	Oth	er		
4.		ated Person's CRD Number (if any): 105596		
	(b)			
		No Information Filed		
5.		ated Person is: (check all that apply) Description broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)			
	(c) (d)	registered municipal advisor registered security-based swap dealer		
	٠,	major security-based swap participant		
	(f)			
	(g) (h)	futures commission merchant banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k) (l)	☐ lawyer or law firm ☐ insurance company or agency		
	٠,	pension consultant		
	(n)			
	(0)			
	(p)		Yes	No
6.	Do	you control or are you controlled by the related person?	0	·
			~	~
7.	Are	you and the related person under common control?	•	0
8.	(a)	clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the	0	О

			<i>D</i> (1	RD - All Sections [User Nam	o. aa, .20, 0.9.200000]	
		related person and thus maintained at the relate	•	btain a surprise examinati	on for your <i>clients</i> ' funds or securit	ies that are
	(c)	If you have answered "your <i>clients'</i> assets:	yes" to question 8.(a)	above, provide the locatio	n of the <i>related person's</i> office resp	oonsible for <i>custody</i> (
		Number and Street 1:		Number and St	reet 2:	
		City:	State:	Country:	ZIP+4/Postal Code:	
		If this address is a priv	ate residence, check t	his box: L		W
9.	(a)	If the related nerson is	an investment adviser	, is it exempt from registra	ation?	Yes
	` ,	•		, is it exempt from registro	ation:	О
	(b)	If the answer is yes, un	der what exemption?			
10.	(a)	Is the <i>related person</i> re	egistered with a <i>foreigi</i>	n financial regulatory autho	ority ?	0
	(b)	If the answer is yes, list person is registered.	t the name and countr	y, in English of each <i>foreig</i>	n financial regulatory authority wit	~
				No Information F	iled	
11.	Do y	ou and the <i>related perso</i>	on share any supervise	d persons?		•
	_					
12.	ро у	ou and the <i>related perso</i>	<i>n</i> snare the same phy	sical location?		0
Item	7 <i>P</i>	rivate Fund Reporting				
Item	1 7 <i>P</i>	rivate Fund Reporting				Yes
		rivate Fund Reporting ou an adviser to any <i>priv</i>				Yes
B. A If de th th de	re yo "ye: escri he SI his in	ou an adviser to any priv s," then for each private bed in the next sentence EC or reporting as an SEC formation with respect t	ate fund? fund that you advise, e and in Instruction 6 c C exempt reporting ad o any such private fun	of the Instructions to Part viser, and another SEC-re d in Section 7.B.(1) of Sch	ion 7.B.(1) of Schedule D, except in 1A. If you are registered or applying gistered adviser or SEC exempt rep nedule D of its Form ADV (e.g., if yo d. You must, instead, complete Sec	• certain circumstanc g for registration wit porting adviser report ou are a subadviser),

SECTION 7.B.(1) Private Fund Reporting 1 2 3 >> Funds per Page: 15 V Total Funds: 37 A. PRIVATE FUND Information About the Private Fund 1. (a) Name of the *private fund*: CAYUGA LAKE FUND, L.P. (b) Private fund identification number: (include the "805-" prefix also) 805-4163269793 2. Under the laws of what state or country is the *private fund* organized: State: Country: Delaware **United States**

ame of General Partner, Manager, Trustee, or Director		
F-H GP, LLC		
If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) t <i>fund</i> .	his <i>pri</i>	va
No Information Filed		
e <i>private fund</i> (check all that apply; you must check at least one):		
(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Cot of 1940	ompan	У
(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Cot of 1940	ompan	У
t the name and country, in English, of each foreign financial regulatory authority with which the private fund is regist	ered.	
No Information Filed		
	Yes	
Is this a "master fund" in a master-feeder arrangement?	0	
If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private	fund?	
No Information Filed		
	Yes	•
Is this a "feeder fund" in a master-feeder arrangement?	0	
If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fun</i>	d inve	ste
Name of private fund:		
Private fund identification number: (include the "805-" prefix also)		
TE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	Sched	ule
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the		
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a destantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" investing in a "master fund" investing in a "master fund".	ll or ster fu	ctio
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the solid section of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a	ll or ster fu	ctic
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a destantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investions.	ll or ster fu	inc
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a destantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investions.	II or ster fu	inc
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a destantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investantially all of its assets in a single master fund.	Il or ster fu ests Yes	inc
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a bestantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investantially all of its assets in a single master fund. Other is this private fund a "fund of funds"? OTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other	Il or ster fu ests Yes	inc
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a bestantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "mater purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investantially all of its assets in a single master fund. OTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other restment vehicles, regardless of whether they are also private funds or registered investment companies.	Il or ster fuests Yes	inc
Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the B.(1), for each of the feeder funds answer the following questions: No Information Filed OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a bestantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "mater purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investantially all of its assets in a single master fund. OTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other restment vehicles, regardless of whether they are also private funds or registered investment companies.	Il or ster fuests Yes	inc

10. W	hat type of fund is the <i>private fund</i> ?		
	hedge fund $^{\circ}$ liquidity fund $^{\circ}$ private equity fund $^{\circ}$ real estate fund $^{\circ}$ securitized asset fund $^{\circ}$ venture capital obther private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund	⊙
N	OTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
	urrent gross asset value of the <i>private fund</i> : 77,698,226		
<u>Owne</u>	<u>ership</u>		
12. M \$	inimum investment commitment required of an investor in the <i>private fund</i> :		
	OTE: Report the amount routinely required of investors who are not your related persons (even if different from the amorth in the organizational documents of the fund).	ount	set
13. A _l	pproximate number of the <i>private fund's</i> beneficial owners:		
	hat is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
	a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
(t	b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?		No O
16. W	/hat is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : %		
Your A	Advisory Services		
17 (-	NA service a bad the telline to the Coul	Yes	No
-	 Are you a subadviser to this private fund? If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private the answer to question 17.(a) is "no," leave this question blank. 	C fund.	. If
	No Information Filed		
		Yes	No
18. (a	a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
(b	o) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	privat	:e
	No Information Filed		
		Yes	No
	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?	О	⊙
N	OTE: For purposes of this question, do not consider feeder funds of the private fund.		
20. A _l	pproximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Privat</u>	te Offering		

21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?		0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-8924889		
B. SE	ERVICE PROVIDERS		
Aud	<u>litors</u>		
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	_	s No
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ⊙	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses rone auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	nore th	an
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> use more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	;	
	(b) Name of the auditing firm: ERNST & YOUNG LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: CLEVELAND Ohio United States		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
	(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	Ye: ⊙	S No
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendmen</i> unqualified opinions?	conta	i n
	Yes □ No □ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your r when the report is available.	espons	e
<u>Prir</u>	me Broker		
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	Yes	s No ⊙

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund

25. (a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>priva</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately to custodian. No Information Filed Administrator 26. (a) Does the <i>private fund</i> use an administrator other than your firm?	
assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>priva</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately custodian. No Information Filed	C (
uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately to custodian. No Information Filed Administrator	
Administrator	
26. (a) Does the <i>private fund</i> use an administrator other than your firm?	Yes N
	0 (
If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more administrator, you must complete questions (b) through (f) separately for each administrator.	re than one
No Information Filed	
7. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as a administrator, that is not your related person? 100%	ın
Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distrifee calculations (including allocations) was the valuation determined by such <i>person</i> .	_
Marketers .	Yes N
8. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0 (
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal acother solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) be each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (g) separately for each marketer.	dvisor or elow for
No Information Filed	

Information About the Private Fund

- 1. (a) Name of the *private fund*:
 - COMMONFUND STRATEGIC SOLUTIONS REAL ESTATE OPPORTUNITY FUND 2011, L.P.
 - (b) Private fund identification number:

(include the "805-" prefix also) 805-9392525412

2. Under the laws of what state or country is the *private fund* organized:

State: Country:
Delaware United States

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

CFSSG REAL ESTATE PARTNERS I, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

No Information Filed

- 4. The *private fund* (check all that apply; you must check at least one):
 - (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

Yes No

- 6. (a) Is this a "master fund" in a master-feeder arrangement?
 - (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

No Information Filed

Yes No

- (c) Is this a "feeder fund" in a master-feeder arrangement?
- (d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

Private fund identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

8.	(a)	Is this <i>private fund</i> a "fund of funds"?			\odot	\circ
		E: For purposes of this question only, answer "yes" if the fund invests 10 perc stment vehicles, regardless of whether they are also <i>private funds</i> or registere		sets in other po	ooled	i
	(b)	If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related</i> p	person?		O	\odot
_					Yes	No
9.		ng your last fiscal year, did the <i>private fund</i> invest in securities issued by invest Investment Company Act of 1940 (other than "money market funds," to the e			0	⊙
10.	Wha	t type of fund is the <i>private fund</i> ?				
		nedge fund $^{f C}$ liquidity fund $^{f C}$ private equity fund $^{f C}$ real estate fund $^{f C}$ sec er $private$ $fund$: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-R			ınd ⁽	•
	NOT	E: For definitions of these fund types, please see Instruction 6 of the Instructi	ons to Part 1A.			
11.	Curi	ent gross asset value of the <i>private fund</i> :				
	\$ 52	,952,350				
<u>Ow</u>	ners	<u>hip</u>				
12.	Mini	mum investment commitment required of an investor in the <i>private fund</i> :				
		0,000				
		E: Report the amount routinely required of investors who are not your <i>related</i> in the organizational documents of the fund).	persons (even if different	from the amou	unt s	set
13.		roximate number of the <i>private fund's</i> beneficial owners:				
	37					
14.	Wha	t is the approximate percentage of the <i>private fund</i> beneficially owned by you	and your related persons	:		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (ir	the aggregate) by funds			
	(h)	If the private fund qualifies for the exclusion from the definition of investment	company under section 3		Yes	
	(0)	Investment Company Act of 1940, are sales of the fund limited to <i>qualified cli</i>	• •	(c)(1) or the	•	0
16.	Wha	t is the approximate percentage of the private fund beneficially owned by non	-United States persons:			
	4%					
You	ur Ad	visory Services		_		
17.	(a)	Are you a subadviser to this <i>private fund</i> ?		`	Yes	No
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number the answer to question 17.(a) is "no," leave this question blank.	per, if any, of the adviser o	of the <i>private f</i> u		
		No Information Filed				
				•	Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Sect fund?	ion 7.B.(1).A.3.(b)) advis	e the <i>private</i>	•	0
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number fund. If the answer to question 18.(a) is "no," leave this question blank.	per, if any, of the other adv	visers to the <i>pr</i>	rivato	е
		Name of Other Adviser to <i>private fund</i>	SEC file number	CRD number	r	
		COMMONFUND ASSET MANAGEMENT COMPANY, INC.	801-56548	110689		

. Are y	your clients solicited to invest in the private	e fund?		0	0
NOT	E: For purposes of this question, do not cor	nsider feeder funds	of the private fund.		
. Appr	roximately what percentage of your <i>clients</i> l	has invested in the	private fund?		
ivate (<u>Offering</u>				
Hac	the private fund over relied on an exemption	on from registration	n of its securities under Regulation D of the Securities	Yes	N .
	of 1933?	on nom registration	To its securities under regulation b of the securities	•	(
	es, provide the <i>private fund's</i> Form D file nu	ımber (if any):			
-	m D file number				
021	L-167003				
servic uditors	CE PROVIDERS				
(-)	(4) A substitute of the Control of t			Ye	s N
. ,	(1) Are the <i>private fund's</i> financial stateme	-		⊙	(
	(2) If the answer to question 23.(a)(1) is "GAAP?	yes," are the financ	cial statements prepared in accordance with U.S.	⊙	1
	If the answer to question 23.(a)(1) is "yes, one auditing firm, you must complete ques Additional Auditor Information: 1 Rec	stions (b) through (ions (b) through (h) below. If the <i>private fund</i> uses m f) separately for each auditing firm.	ore th	an
	Additional Auditor Information: 1 Rec If the answer to question 23.(a)(1) is "yes	cord(s) Filed. es," respond to que			ian
	Additional Auditor Information: 1 Rec If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must co	cord(s) Filed. es," respond to que	f) separately for each auditing firm. estions (b) through (h) below. If the <i>private fund</i> uses		ian
	Additional Auditor Information: 1 Rec If the answer to question 23.(a)(1) is "yes	cord(s) Filed. es," respond to que	f) separately for each auditing firm. estions (b) through (h) below. If the <i>private fund</i> uses		ian
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm; ERNST & YOUNG LLP	cord(s) Filed. es," respond to que omplete questions	separately for each auditing firm. estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm.		ian
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's office auditing firm's office question and provided the provided that the provided the provided that the provided tha	cord(s) Filed. es," respond to que omplete questions	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country):		ian
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm; ERNST & YOUNG LLP	cord(s) Filed. es," respond to que omplete questions	separately for each auditing firm. estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm.		ian
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's officity:	cord(s) Filed. es," respond to que omplete questions fice responsible for State:	stions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country:		
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's officity:	cord(s) Filed. es," respond to que omplete questions fice responsible for State: Ohio	stions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country:	Yes	
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's off City: CLEVELAND	cord(s) Filed. es," respond to que omplete questions fice responsible for State: Ohio	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country: United States	Yes	No
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete that one auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's officity: CLEVELAND (d) Is the auditing firm an independent in the auditing firm and independent in the auditing firm an independent in the auditing firm an independent in the auditing firm and independent	cord(s) Filed. es," respond to que complete questions fice responsible for State: Ohio public accountant?	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country: United States	Yes ⊙	No O
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete the properties of the sudditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's officity: CLEVELAND (d) Is the auditing firm an independent properties of the sudditing firm and independent properties of the sudditing firm registered with the sudditing firm registered w	cord(s) Filed. es," respond to que complete questions fice responsible for State: Ohio public accountant?	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country: United States	Yes ⊙	No O
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's off City: CLEVELAND (d) Is the auditing firm an independent question of the auditing firm and independent question of the audi	cord(s) Filed. es," respond to que complete questions fice responsible for State: Ohio public accountant? the Public Company Oversight Board-Ass	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country: United States	Yes ⊙	No O
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's officity: CLEVELAND (d) Is the auditing firm an independent question of the auditing firm registered with the property of the property of the auditing firm registered with the property of	cord(s) Filed. es," respond to que complete questions fice responsible for State: Ohio public accountant? the Public Company Oversight Board-Ass	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country: United States Accounting Oversight Board? Signed Number:	Yes ⊙	No.
	Additional Auditor Information: 1 Recomplete question If the answer to question 23.(a)(1) is "ye more than one auditing firm, you must complete question 23.(a)(1) is "ye more than one auditing firm, you must complete question of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's officity: CLEVELAND (d) Is the auditing firm an independent question of the auditing firm registered with the property of the property of the auditing firm registered with the property of	cord(s) Filed. es," respond to que complete questions fice responsible for State: Ohio public accountant? the Public Company Oversight Board-Ass	estions (b) through (h) below. If the <i>private fund</i> uses (b) through (f) separately for each auditing firm. The private fund's audit (city, state and country): Country: United States Accounting Oversight Board? Signed Number:	Yes ⊙	No C

unqualified opinions?

Yes □ No □ Report Not Yet Received	
If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your responwhen the report is available.	se
Prime Broker	a Na
24. (a) Does the <i>private fund</i> use one or more prime brokers?	s No
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private f</i> uses. If the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for e prime broker.	und
No Information Filed	
<u>Custodian</u>	
-	s No
25. (a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	•
If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for eac custodian.	
No Information Filed	
Administrator Ye 26. (a) Does the <i>private fund</i> use an administrator other than your firm? If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than administrator, you must complete questions (b) through (f) separately for each administrator.	-
No Information Filed	
 27. During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i>, such as an administrator, that is not your <i>related person</i>? 100% Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions. 	_
fee calculations (including allocations) was the valuation determined by such <i>person</i> .	, and
<u>Marketers</u> Ye	s No
28. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor of	•
other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete question through (g) separately for each marketer.	or r

Α.	PK	IVA	ΙĿ	ͰU	ND

Information	About the	Private	Fund
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1. (a) Name of the *private fund*:

COMMONFUND STRATEGIC SOLUTIONS REAL ESTATE OPPORTUNITY FUND 2014, L.P.

(b) Private fund identification number:

(include the "805-" prefix also)

805-5029596966

2. Under the laws of what state or country is the *private fund* organized:

State:

Country:

Delaware

United States

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

CFSSG REAL ESTATE PARTNERS II, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

No Information Filed

- 4. The *private fund* (check all that apply; you must check at least one):
 - (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

Yes No

- 6. (a) Is this a "master fund" in a master-feeder arrangement?
 - (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

No Information Filed

Yes No

(c) Is this a "feeder fund" in a master-feeder arrangement?

- (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of *private fund*:

Private fund identification number:

(include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "ma for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) inv substantially all of its assets in a single master fund.	ster fu	nd"
0	(a) Table at the Carda HC and a CC and HD	Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other	• poole	d d
	investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	•
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital Other <i>private fund</i> : REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund	•
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 84,050,034		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 250,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the arrorth in the organizational documents of the fund).	mount	set
13.	Approximate number of the <i>private fund's</i> beneficial owners: 49		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ^e ⊙	No C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		

Your Advisory Services

4%

17. (a)	Are you a subadviser to this <i>private fund</i> ?	0	©

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question blank.

Yes No

No Information Filed

18. (Do any investment advisers (other than th	ne investment advis	ers listed in Sect	ion 7.B.(1).A.3.(b))	advise the p		es N ⊙ (
(fund? If the answer to question 18.(a) is "yes," ¡	provide the name a	nd SEC file numl	per, if any, of the oth	ner advisers t	to the <i>pri</i>	⁄ate
	_	fund. If the answer to question 18.(a) is "		tion blank.				
		Name of Other Adviser to private fund			SEC file number		number	
	[COMMONFUND ASSET MANAGEMENT CON	MPANY, INC.		801-56548	1106	89 	
							Y	es N
19. <i>A</i>	Are y	our <i>clients</i> solicited to invest in the <i>privat</i>	te fund?				(9
^	NOTE	E: For purposes of this question, do not co	onsider feeder funds	of the private f	und.			
	Appro	oximately what percentage of your <i>clients</i>	s has invested in the	e private fund?				
<u>Priva</u>	ate O	<u>Offering</u>					v	.
		the <i>private fund</i> ever relied on an exemptinf 1933?	ion from registration	n of its securities	under Regulation D	of the Secu	witi o o	es N
22. I	If yes	s, provide the <i>private fund's</i> Form D file n	umber (if any):					
	Form	m D file number						
	021-	-167003						
B. SER		E PROVIDERS					Y	es N
3. SER	<u>tors</u>		ents subject to an a	annual audit?				es N
3. SER	tors (a) (-		orepared in accordai	nce with U.S.		_
3. SER	tors (a) ((G	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is	"yes," are the finances," respond to quest	cial statements p	(h) below. If the <i>pr</i>			⊙ (⊙ (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yes	"yes," are the finances," respond to quest stions (b) through (cial statements p	(h) below. If the <i>pr</i>			⊙ (⊙ (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yes one auditing firm, you must complete que	"yes," are the finances," respond to quest estions (b) through (ecord(s) Filed.	cial statements pricions (b) through (f) separately for estions (b) through	(h) below. If the <i>pr</i> each auditing firm.	rivate fund us	ses more	⊙ (⊙ (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yes one auditing firm, you must complete que Additional Auditor Information: 1 Re If the answer to question 23.(a)(1) is "y	"yes," are the finances," respond to quest estions (b) through (ecord(s) Filed.	cial statements pricions (b) through (f) separately for estions (b) through	(h) below. If the <i>pr</i> each auditing firm.	rivate fund us	ses more	⊙ (⊙ (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yes one auditing firm, you must complete que Additional Auditor Information: 1 Re If the answer to question 23.(a)(1) is "you more than one auditing firm, you must of the body of the auditing firm.	"yes," are the finances," respond to quest estions (b) through (ccord(s) Filed. Yes," respond to questomplete questions	cial statements pricions (b) through (f) separately for estions (b) through (f) through (f) through (f) through (f) the private func	(h) below. If the proceeding firm. gh (h) below. If the separately for each and the s	<i>private fund</i> us	uses	⊙ (⊙ (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yestone auditing firm, you must complete que Additional Auditor Information: 1 Re If the answer to question 23.(a)(1) is "you more than one auditing firm, you must of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's of City:	"yes," are the finances," respond to quest estions (b) through (cecord(s) Filed. Yes," respond to questions complete questions	cial statements pricions (b) through (f) separately for estions (b) through (f) through (f) through (f) the private functions (country)	(h) below. If the proceeding firm. gh (h) below. If the separately for each and the s	<i>private fund</i> us	uses	⊙ (⊙ (
B. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yestone auditing firm, you must complete que Additional Auditor Information: 1 Re If the answer to question 23.(a)(1) is "you more than one auditing firm, you must of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's of the	"yes," are the finances," respond to quest estions (b) through (ccord(s) Filed. Yes," respond to questomplete questions	cial statements pricions (b) through (f) separately for estions (b) through (f) through (f) through (f) through (f) the private func	(h) below. If the proceeding firm. gh (h) below. If the separately for each and the s	<i>private fund</i> us	uses	© (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yestone auditing firm, you must complete que Additional Auditor Information: 1 Re If the answer to question 23.(a)(1) is "you more than one auditing firm, you must of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's of City:	"yes," are the finances," respond to quest estions (b) through (cecord(s) Filed. "yes," respond to questions described to questions of the first responding to questions of the first responsible for State: Ohio	cial statements pricions (b) through (f) separately for estions (b) through (f) through (f) through (f) the private functions (b) through (f) throug	(h) below. If the proceeding firm. gh (h) below. If the separately for each and the s	<i>private fund</i> us	uses	⊙ (⊙ (
3. SER	tors (a) ((c) If	(1) Are the <i>private fund's</i> financial statem (2) If the answer to question 23.(a)(1) is GAAP? If the answer to question 23.(a)(1) is "yes one auditing firm, you must complete que Additional Auditor Information: 1 Re If the answer to question 23.(a)(1) is "y more than one auditing firm, you must of (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's of City: CLEVELAND	"yes," are the finances," respond to quest estions (b) through (cecord(s) Filed. "yes," respond to questions described to questions office responsible for State: Ohio	cial statements pricions (b) through (f) separately for estions (b) through (f) through (f) through (f) through (f) through (f) the private function (b) through (f) th	(h) below. If the present auditing firm. gh (h) below. If the separately for each audit (city, state research): States	<i>private fund</i> us	uses	⊙ (description of the second

Administrator

9/6/22, 1:03 PM

private fund's investors?

when the report is available.

unqualified opinions?

prime broker.

custodian.

Prime Broker

Custodian

26. (a) Does the *private fund* use an administrator other than your firm?

administrator, you must complete questions (b) through (f) separately for each administrator.

27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?

100%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

Marketers

Yes No

90/158

28.	. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
		No Information Filed
A. P	RIVAT	TE FUND
Info	ormai	tion About the Private Fund
1.	(a)	Name of the <i>private fund</i> :
		DEERE & COMPANY WELFARE BENEFIT FUND, LP
	(b)	Private fund identification number:
		(include the "805-" prefix also)
		805-5461955365
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:
		State: Country:
		Delaware United States
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
	Naı	me of General Partner, Manager, Trustee, or Director
	JDP	PT MANAGER, LLC
	(b)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .
		No Information Filed
4.		private fund (check all that apply; you must check at least one):
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company of 1940
		(2) qualifies for the exclusion from the definition of investment company under section $3(c)(7)$ of the Investment Company of 1940
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
		No Information Filed
		Yes No
6.	(a)	Is this a "master fund" in a master-feeder arrangement?
	(b)	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?
		No Information Filed
		Yes No
	(c)	Is this a "feeder fund" in a master-feeder arrangement?
	• ,	If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?
	(-)	Name of <i>private fund</i> :
		Private fund identification number:
		(include the "805-" prefix also)

0%

	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single SD, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	Schedu	ule
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the 7.B.(1), for each of the feeder funds answer the following questions:	is Sec	tion
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investantially all of its assets in a single master fund.	ster fu ests	
8.	(a) Is this private fund a "fund of funds"?	Yes	
ο.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	© poole	d d
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	•
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	$^{ m C}$ hedge fund $^{ m C}$ liquidity fund $^{ m C}$ private equity fund $^{ m C}$ real estate fund $^{ m C}$ securitized asset fund $^{ m C}$ venture capital Other <i>private fund</i> : REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund	•
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 175,000,000		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the an forth in the organizational documents of the fund).	nount	set
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		

(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds:

Investment Company Act of 1940, are sales of the fund limited to qualified clients?

16.	Wha	hat is the approximate percentage of the <i>private fund</i> beneficially owne	d by non- <i>United States persons</i> :		
<u>You</u>	ur Ad	Advisory Services			
	(-)	NA construction to this state CodD	Y	es/	No
1/.		 Are you a subadviser to this <i>private fund</i>? If the answer to question 17.(a) is "yes," provide the name and SEC the answer to question 17.(a) is "no," leave this question blank. 		C ind.	⊙ If
		No Information	Filed		
			Y	es/	No
18.	(a)) Do any investment advisers (other than the investment advisers liste fund?	d in Section 7.B.(1).A.3.(b)) advise the <i>private</i>	0	•
	(b)) If the answer to question 18.(a) is "yes," provide the name and SEC fund. If the answer to question 18.(a) is "no," leave this question bla		ivat	e
		No Information	filed		
			Y	es/	No
19.	Are	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?		O	\odot
	NOT	OTE: For purposes of this question, do not consider feeder funds of the	private fund.		
20.	App	oproximately what percentage of your <i>clients</i> has invested in the <i>private</i>	r fund?		
Pri	vate	e Offering			
				es/	No
21.		as the <i>private fund</i> ever relied on an exemption from registration of its set of 1933?	securities under Regulation D of the Securities	⊙	0
22.	If ye	yes, provide the <i>private fund's</i> Form D file number (if any):			
		No Information File	ed		
	ERVI ditor	VICE PROVIDERS	•	Yes	No
23.	(a)) (1) Are the <i>private fund's</i> financial statements subject to an annual a	udit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial stat GAAP?	ements prepared in accordance with U.S.	•	0
		If the answer to question $23.(a)(1)$ is "yes," respond to questions (b) one auditing firm, you must complete questions (b) through (f) separate		tha	an.
		Additional Auditor Information : 1 Record(s) Filed.			
		If the answer to question 23.(a)(1) is "yes," respond to questions (more than one auditing firm, you must complete questions (b) through			
		(b) Name of the auditing firm: ERNST & YOUNG			
		(c) The location of the auditing firm's office responsible for the <i>pri</i>	vate fund's audit (city, state and country):		
		City: State: CLEVELAND Ohio	Country: United States		
		(d) Is the auditing firm an independent public accountant?	Ye. ⊙	s N	olo O

	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?		
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		V	es No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	6	
(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendmen</i> unqualified opinions?	<i>t</i> cont	ain
	● Yes C No C Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your running when the report is available.	espor	se
rime E	<u>Broker</u>	Y	es No
l. (a)	Does the <i>private fund</i> use one or more prime brokers?	(•
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private standard uses more than one prime broker, you must complete questions (b) through (e) separate prime broker.		
	No Information Filed		
ustodi	No Information Filed	V	es No
	No Information Filed	Y	es No
	No Information Filed ian Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its	e fund	• •
	No Information Filed Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>privat</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately for the private fund uses more than one custodian, you must complete questions (b) through (g) separately fund uses more than one custodian, you must complete questions (b) through (g) separately fund uses more than one custodian the private fund uses more than one custodian the priva	e fund) ©
5. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>privat</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately focustodian. No Information Filed	e fund	• •
i. (a)	No Information Filed Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>privat</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately focustodian.	e fundor ead	1
5. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>privat</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately focustodian. No Information Filed Strator Does the <i>private fund</i> use an administrator other than your firm?	Y	es No
5. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>privat</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately focustodian. No Information Filed	Y	es No

 $https://crd.finra.org/lad/Content/PrintHist/Adv/Sections/crd_iad_AdvAllSections.aspx? RefNum=\&viewChanges=N\&FLNG_PK=1674177$

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

28. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer. No Information Filed A. PRIVATE FUND	Ma	rket	<u>ers</u>
You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions (b) through (g) separately for each marketer. No Information Filed 1. (a) Name of the private fund: GPF REAL ESTATE LP (b) Private fund identification number:	20	(-)	Yes No
A. PRIVATE FUND Information About the Private Fund 1. (a) Name of the private fund:	28.	(a)	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b)
Information About the Private Fund: (a) Name of the private fund: GPF REAL ESTATE LP (b) Private fund identification number: (include the "805-" prefix also) 805-4365747713 2. Under the laws of what state or country is the private fund organized: State:			No Information Filed
1. (a) Name of the private fund:	A. Pl	RIVA	TE FUND
GPF REAL ESTATE LP (b) Private fund identification number: (include the "805-" prefix also) 805-4365747713 2. Under the laws of what state or country is the private fund organized: State: Country: Guernsey 3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director LAKE ERIE REAL ESTATE GENERAL PARTNER LIMITED (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed 4. The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? ☐ ② ② ☐ ② ② ☐ ② ☐ ② ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	<u>Info</u>	rma	tion About the <i>Private Fund</i>
State: Country: Guernsey 3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director LAKE ERIE REAL ESTATE GENERAL PARTNER LIMITED (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed 4. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	1.	. ,	GPF REAL ESTATE LP Private fund identification number: (include the "805-" prefix also)
Name of General Partner, Manager, Trustee, or Director LAKE ERIE REAL ESTATE GENERAL PARTNER LIMITED (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed 4. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	2.	Und	State: Country:
LAKE ERIE REAL ESTATE GENERAL PARTNER LIMITED (b) If filling an umbrella registration, identify the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed 4. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	3.	_	
(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed 4. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?			
A. The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? ○ ○ (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		LA	RE ERIE REAL ESTATE GENERAL PARTNER LIMITED
 4. The <i>private fund</i> (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. ☐ No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? ☐ ① ① (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 		(b)	
 ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? ⓒ (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 			No Information Filed
No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	4.	□ Act ☑	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company
Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
6. (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?			No Information Filed
	6.	(a)	
No Information Filed		(b)	· · · · · · · · · · · · · · · · · · ·
			No Information Filed

Yes No

	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> Name of <i>private fund</i> :	d inves	sts?
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	Schedu	ıle
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the 7.B.(1), for each of the feeder funds answer the following questions:	nis Sect	tion
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "ma for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investigations are substantially all of its assets in a single master fund.	ster fur	nd"
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other	⊙ pooled	C d
	investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	⊚
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	$^{ m C}$ hedge fund $^{ m C}$ liquidity fund $^{ m C}$ private equity fund $^{ m C}$ real estate fund $^{ m C}$ securitized asset fund $^{ m C}$ venture capital Other <i>private fund</i> : REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund (0
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 471,762,239		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 0 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the arforth in the organizational documents of the fund).	nount s	set
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		

15.	-	a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	U		Yes	No
	(ł	b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.		What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 00%		
<u>You</u>	ur .	Advisory Services	Yes	No
17.	(a	a) Are you a subadviser to this <i>private fund</i> ?	_	6
	(t	b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private f</i> the answer to question 17.(a) is "no," leave this question blank.	und.	~
		No Information Filed		
			Yes	No
18.	(ā	a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(ł	b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>p</i> fund. If the answer to question 18.(a) is "no," leave this question blank.	rivat	е
		No Information Filed		
			Yes	No
19.	Α	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	\odot
	Ν	IOTE: For purposes of this question, do not consider feeder funds of the private fund.		
<u>Priv</u>		% te Offering		
21.		las the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities ct of 1933?	Yes ⊙	No C
22.	If	f yes, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. S	ER'	VICE PROVIDERS		
Aud	dit	<u>ors</u>		
			Yes	No
23.	(6	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	\odot	О
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses mor one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	e tha	in
		Additional Auditor Information: 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: ERNST & YOUNG		

(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state ar	nd country):	
City: State: Country:		
S1. PETERS PORT Guernsey	Vec	No
(d) Is the auditing firm an independent public accountant?	•	0
(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
If yes, Public Company Accounting Oversight Board-Assigned Number: 1438		
(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Oversight Board in accordance with its rules?	Accounting •	o
	Y	es No
Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distri <i>private fund's</i> investors?	buted to the	0
Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual update</i> unqualified opinions?	<i>ing amendment</i> cont	ain
If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to when the report is available.	o update your respor	se
<u>Broker</u>	Y	es No
Does the <i>private fund</i> use one or more prime brokers?) (O
		fund
No Information Filed		
•		
<u>ian</u>	Y	es No
	= '	
Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some o assets?	r all of its	•
	dian the <i>private fund</i>	d
assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custo uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (dian the <i>private fund</i>	d
assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custo uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (custodian. No Information Filed	dian the <i>private fund</i>	d
assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custo uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (custodian.	dian the <i>private fund</i> g) separately for eac	d ch
assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custo uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (custodian. No Information Filed	dian the <i>private fund</i> g) separately for eac	d
<u>B</u>	ST. PETERS PORT Guernsey (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1438 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Oversight Board in accordance with its rules? Are the private fund's audited financial statements for the most recently completed fiscal year distriprivate fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual update unqualified opinions? Yes No Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to when the report is available. Aroker Does the private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime uses. If the private fund uses more than one prime broker, you must complete questions (b) throug prime broker.	ST. PETERS PORT Guernsey Yes (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1438 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? Yes Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contunqualified opinions? Yes Yes No C Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. Proker Yes Does the private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for a prime broker. No Information Filed

Additional Administrator Information: 1 Record(s) Filed.

		ne answer to question 26.(a) is "yes," respond to que n one administrator, you must complete questions (b	.,	•	more	
	(b)	Name of administrator: NORTHERN TRUST				
	(c)	Location of administrator (city, state and country):				
		City: ST. PETERS PORT	State:	Country: Guernsey		
				,	Yes N	o
	(d)	Is the administrator a <i>related person</i> of your firm?			0 0	
	(e)	Does the administrator prepare and send investor a	ccount statements to	the <i>private fund's</i> investors?		
			l to some but not all i	nvestors) O No (provided to no		
	(f)	If the answer to question 26.(e) is "no" or "some," private fund's investors? If investor account statem respond "not applicable." NOT APPLICABLE		· ·	-	
100 Incl obta	0% lude or aining calcula	ator, that is not your <i>related person</i> ? Ally those assets where (i) such <i>person</i> carried out the any relevant quotes, and (ii) the valuation used for pations (including allocations) was the valuation determined.	urposes of investor su	ubscriptions, redemptions or dist	tributions	
28. (a)	Does	the <i>private fund</i> use the services of someone other t	han you or your <i>empl</i>	oyees for marketing purposes?	0	
· · ·	You mother each	nust answer "yes" whether the <i>person</i> acts as a place solicitor, or similar <i>person</i> . If the answer to question such marketer the <i>private fund</i> uses. If the <i>private fund</i> (g) separately for each marketer.	ement agent, consulta 28.(a) is "yes," respo	nt, finder, introducer, municipal and to questions (b) through (g)	advisor o below fo	r r
		No Ir	formation Filed			
A. PRIVA	TE FUN	ID				
Informa	ition A	bout the <i>Private Fund</i>				
1 (2)	Nama	of the <i>private fund</i> :				
1. (a)		PARTNERSHIP IIA CO-INVESTMENT L.P.				
(b)	Privat	de the "805-" prefix also)				

 $https://crd.finra.org/lad/Content/PrintHist/Adv/Sections/crd_iad_AdvAllSections.aspx?RefNum=\&viewChanges=N\&FLNG_PK=1674177$

2. Under the laws of what state or country is the $\it private fund$ organized:

805-2672609085

	State: Country:	
	Guernsey	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	LAKE ERIE REAL ESTATE GP LTD	
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .	te
	No Information Filed	
4	The arrivate fixed (about all that each your revet about at least energy	
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	No Information Filed	
	Yes N	40
6.	(a) Is this a "master fund" in a master-feeder arrangement?	⊙
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	
	No Information Filed	
	Voc. N	
	Yes N (c) Is this a "feeder fund" in a master-feeder arrangement?	• •
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests	
	Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	į
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Sectio 7.B.(1), for each of the feeder funds answer the following questions:	n
	No Information Filed	
		_
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes N	
8.	(a) Is this private fund a "fund of funds"?	_
٥.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	О
	(h) If you does the private fund invest in funds managed by you or by a related person?	⊙

		Yes	s No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	$^{ m C}$ hedge fund $^{ m C}$ liquidity fund $^{ m C}$ private equity fund $^{ m C}$ real estate fund $^{ m C}$ securitized asset fund $^{ m C}$ venture capitother private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	al fund:	•
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 346,695,393		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the forth in the organizational documents of the fund).	amount	set
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?		S No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		
<u> Υοι</u>	r Advisory Services		
17	(a) Are your a subaddiser to this private fund?	Yes	s No
17.	(a) Are you a subadviser to this <i>private fund</i>?(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private answer</i> to question 17.(a) is "no," leave this question blank.	C ate fund	€ f. If
	No Information Filed		
	The sine times of the sine sine sine sine sine sine sine sin	Va	s No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>privious</i> fund?		· (0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to t fund. If the answer to question 18.(a) is "no," leave this question blank.	ne <i>priva</i>	ite
	No Information Filed		
		Yes	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		

<u>Private</u>	Offering		
24 11		Yes	No
	s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities of 1933?	•	0
22. If y	res, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. SERVI	ICE PROVIDERS		
<u>Auditor</u>	<u>'S</u>	Yes	s No
23. (a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses m one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	ore th	an
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: ERNST & YOUNG LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:		
	ST PETERS PORT Guernsey		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	⊙	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1438		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Yes	s No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	•	0
(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> unqualified opinions?	contai	n
	● Yes ○ No ○ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your rewhen the report is available.	spons	е
Prime E	<u>Broker</u>	Var	s No
24 (2)	Doos the private fund use one or more prime brokers?	1 65	140

	No Information Filed		
odian			
(-) D		١	es/
	pes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its sets?		0
us	the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>priv</i> les. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately stodian.		
	No Information Filed		
L			
nistra	ator_	,	es'
a) Do	pes the <i>private fund</i> use an administrator other than your firm?	י	es es
If	the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses m	ore tha	n e
ad	lministrator, you must complete questions (b) through (f) separately for each administrator.		
	Iministrator, you must complete questions (b) through (f) separately for each administrator. dditional Administrator Information: 1 Record(s) Filed.		
A		more	
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses	more	
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator.	more	
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator:	more	
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES (c) Location of administrator (city, state and country): City: State: Country:	more	
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES (c) Location of administrator (city, state and country):	more	No
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES (c) Location of administrator (city, state and country): City: State: Country:		_
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES (c) Location of administrator (city, state and country): City: State: Country: ST PETERS PORT Guernsey	Yes	Nœ ⊙
A	dditional Administrator Information: 1 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES (c) Location of administrator (city, state and country): City: State: Country: ST PETERS PORT Guernsey (d) Is the administrator a related person of your firm?	Yes	_

administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and

fee calculations (including allocations) was the valuation determined by such person.

<u>irke</u>	<u>ters</u>	v.
. (a) Does the <i>private fund</i> use the services of so	Yes omeone other than you or your <i>employees</i> for marketing purposes?
. (You must answer "yes" whether the <i>person</i> other solicitor, or similar <i>person</i> . If the answ	acts as a placement agent, consultant, finder, introducer, municipal advisor or ver to question 28.(a) is "yes," respond to questions (b) through (g) below for if the <i>private fund</i> uses more than one marketer you must complete questions (
		No Information Filed
PRIV	ATE FUND	
<u>orm</u>	ation About the <i>Private Fund</i>	
(a) Name of the <i>private fund</i> :	
	GRE PARTNERSHIP IIA L.P.	
(b) Private fund identification number:	
	(include the "805-" prefix also) 805-4828541054	
	nder the laws of what state or country is the <i>pr</i>	rivate fund organized:
Ur		
(a	State:	Country: Guernsey ee, or Directors (or <i>persons</i> serving in a similar capacity):
(a N L/	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD	Country: Guernsey ee, or Directors (or <i>persons</i> serving in a similar capacity): e, or Director
(a N L/	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD	Country: Guernsey ee, or Directors (or <i>persons</i> serving in a similar capacity): e, or Director
(a N L/	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the	Country: Guernsey ee, or Directors (or <i>persons</i> serving in a similar capacity): e, or Director
(a N L/	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the	Country: Guernsey ee, or Directors (or <i>persons</i> serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private filing adviser. No Information Filed
(a N L/	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. ne private fund (check all that apply; you must	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private the private of the private capacity is a similar capacity in
(a N L/	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. ne private fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private filing adviser and filed No Information Filed c check at least one): ition of investment company under section 3(c)(1) of the Investment Company
(a N L/) (b N According Ac	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. the private fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940 (2) qualifies for the exclusion from the definit of 1940	Country: Guernsey ee, or Directors (or <i>persons</i> serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this priving in the priving adviser and serving adviser
(a N L/ (b Th Acc	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. the private fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940 (2) qualifies for the exclusion from the definit of 1940	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private function of investment company under section 3(c)(1) of the Investment Company ition of investment company under section 3(c)(7) of the Investment Company ition of investment company under section 3(c)(7) of the Investment Company investment company under section 3(c)(7) of the Investment Company investment investment company under section 3(c)(7) of the Investment Company investment investment company under section 3(c)(7) of the Investment Company investment investment investment company investment investment company investment investment investment company investment investment company investment investment company investment investment company investment company investment investment company
(a N L/ (b Th Acc	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. the private fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940 (2) qualifies for the exclusion from the definit of 1940	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private function of investment company under section 3(c)(1) of the Investment Company ition of investment company under section 3(c)(7) of the Investment Company or investment company under section 3(c)(7) of the Investment Company or investment regulatory authority with which the private fund is registered. No Information Filed Yes
(a N L/) (b N Acc	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. in eprivate fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940 (2) qualifies for the exclusion from the definit of 1940 st the name and country, in English, of each formula is the same and country, in English, of each formula is the same and country.	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private function of investment company under section 3(c)(1) of the Investment Company ition of investment company under section 3(c)(7) of the Investment Company or investment company under section 3(c)(7) of the Investment Company or investment regulatory authority with which the private fund is registered. No Information Filed Yes
(a N L/) (b N Acc	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. in eprivate fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940 (2) qualifies for the exclusion from the definit of 1940 st the name and country, in English, of each formula is the same and country, in English, of each formula is the same and country.	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private the private function of investment company under section 3(c)(1) of the Investment Company ition of investment company under section 3(c)(7) of the Investment Company the private function of investment regulatory authority with which the private function is registered. No Information Filed Yes Trangement?
(a N L/) (b N Acc	State:) Name(s) of General Partner, Manager, Trustee ame of General Partner, Manager, Trustee AKE ERIE REAL ESTATE GP LTD) If filing an umbrella registration, identify the fund. in eprivate fund (check all that apply; you must (1) qualifies for the exclusion from the definit of 1940 (2) qualifies for the exclusion from the definit of 1940 st the name and country, in English, of each formula is the same and country, in English, of each formula is the same and country.	Country: Guernsey ee, or Directors (or persons serving in a similar capacity): e, or Director e filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund is registered. Check at least one): ition of investment company under section 3(c)(1) of the Investment Company ition of investment company under section 3(c)(7) of the Investment Company areign financial regulatory authority with which the private fund is registered. No Information Filed Yes Trangement? Celentification number (if any) of the feeder funds investing in this private fund?

IARD - All Sections [User Name: amay123, OrgID: 160006] Name of *private fund*: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes No 8. (a) Is this *private fund* a "fund of funds"?

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also private funds or registered investment companies.

(b) If yes, does the private fund invest in funds managed by you or by a related person?

 \circ

- 9. During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?
- Yes No

10. What type of fund is the private fund?

C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund G Other private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS

NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.

11. Current gross asset value of the private fund:

\$ 90,757,902

Ownership

12. Minimum investment commitment required of an investor in the private fund:

NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).

13. Approximate number of the *private fund's* beneficial owners:

- 14. What is the approximate percentage of the *private fund* beneficially owned by you and your *related persons*:
- 15. (a) What is the approximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds:

			Yes	No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	О
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ac	dvisory Services		
	, ,		Yes	No
1/.		Are you a subadviser to this <i>private fund</i> ?	0	⊙
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	Ιt
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the μ fund. If the answer to question 18.(a) is "no," leave this question blank.	orivat	e
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	О	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	<u>rate</u>	<u>Offering</u>	Yes	No
21.		s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities of 1933?	•	0
22.	If y	res, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
3. SI	=RVI	ICE PROVIDERS		
Auc	litor	<u>'s</u>	Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	Õ	·
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses mo one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	re tha	an
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm:		
		ERNST & YOUNG LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		

	City:	State:	Country:		
	ST PETERS PORT		Guernsey	Yes	No
	(d) Is the auditing firm an independent pub.	lic accountant?		•	0
	(e) Is the auditing firm registered with the F	Public Company Accounting C	versight Board?	•	0
	If yes, Public Company Accounting Over	sight Board-Assigned Numbe	r:		
	(f) If "yes" to (e) above, is the auditing firm Oversight Board in accordance with its r	·	n by the Public Company Accountir	ng o	c
				Ye	s No
	Are the <i>private fund's</i> audited financial statement private fund's investors?	ents for the most recently co	mpleted fiscal year distributed to tl	he 👩	0
(h)	Do all of the reports prepared by the auditing funqualified opinions?	firm for the <i>private fund</i> since	e your last <i>annual updating amend</i>	<i>ment</i> conta	in
	• Yes □ No □ Report Not Yet Received				
	If you check "Report Not Yet Received," you m when the report is available.	ust promptly file an amendm	ent to your Form ADV to update yo	our respons	se
Prime B	<u>Broker</u>			Ye	s No
24. (a)	Does the <i>private fund</i> use one or more prime b	prokers?			•
	If the answer to question 24.(a) is "yes," responses. If the <i>private fund</i> uses more than one prime broker.				
		No Information Filed			
Custodi	a <u>n</u>			Vo	s No
25. (a)	Does the <i>private fund</i> use any custodians (incluassets?	uding the prime brokers lister	d above) to hold some or all of its	0	. ⊙
	If the answer to question 25.(a) is "yes," responses. If the <i>private fund</i> uses more than one coustodian.				
		No Information Filed			
Adminis	strator_				
				Ye	s No
26. (a)	Does the <i>private fund</i> use an administrator oth	ner than your firm?		•	0
	If the answer to question 26.(a) is "yes," response administrator, you must complete questions (b			more than	one
	Additional Administrator Information : 1	Record(s) Filed.			

		he answer to question 26.(a) is "yes," respo n one administrator, you must complete que			
	(b)	Name of administrator:			
		NORTHERN TRUST INTERNATIONAL FUND	ADMINISTRATION SERVIO	CES	
	(c)	Location of administrator (city, state and co	ountry):		
		City:	State:	Country:	
		ST PETERS PORT		Guernsey Yes No	
	(d)	Is the administrator a <i>related person</i> of you	ur firm?	○ ●	
	(e)	Does the administrator prepare and send in	nvestor account statemen	ts to the <i>private fund's</i> investors?	
		lacktriangle Yes (provided to all investors) $lacktriangle$ Some investors)	(provided to some but not	all investors) $\overset{f C}{}$ No (provided to no	
	(f)			vestor account statements to the (rest of the) to the (rest of the) <i>private fund's</i> investors,	
ol fe <u>Marke</u>	eters a) Does You r other each	any relevant quotes, and (ii) the valuation userions (including allocations) was the valuation the private fund use the services of someon nust answer "yes" whether the person acts a solicitor, or similar person. If the answer to	nsed for purposes of investion determined by such per the other than you or your as a placement agent, con question 28.(a) is "yes,"	Yes N	lo ⊙
			No Information Filed		
A. PRIV	/ATE FUI	ND			
Inforn	nation A	Sbout the <i>Private Fund</i>			
1. (a	a) Name	e of the <i>private fund</i> :			
	GRE	PARTNERSHIP IIB L.P.			
(t	,	te fund identification number: de the "805-" prefix also)			
	805-7	7034996319			
2. U		laws of what state or country is the $\ensuremath{\textit{private}}$.	-		
	State	•	Country: Guernsey		
			•		

3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):					
	Name of General Partner, Manager, Trustee, or Director					
	LAKE ERIE REAL ESTATE GP LTD					
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) t fund.	his <i>pri</i>	vate			
	No Information Filed					
4.	The private fund (check all that apply; you must check at least one):					
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment C Act of 1940	ompan	У			
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment C Act of 1940	ompan	У			
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is regist	ered.				
	No Information Filed					
		Yes	No			
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•			
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private	fund?				
	No Information Filed					
		Yes	No			
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•			
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund	nd inve				
	Name of private fund:					
	Private fund identification number: (include the "805-" prefix also)					
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	Schedi	ule			
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the 7.B.(1), for each of the feeder funds answer the following questions:	nis Sec	tion			
	No Information Filed					
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest a substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "ma for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) inv substantially all of its assets in a single master fund.	ister fu ests	nd"			
8.	(a) Is this <i>private fund</i> a "fund of funds"?		_			
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	⊙ r poole	d d			
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	•			
		- 0	•			
		Vas	No			
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under		_			
٦.	Darling your last lister your, and the private rand invest in securities issued by investment companies registered under	О	⊚			

0%

2, 1:03	PM IARD - All Sections [User Name: amay123, OrgID: 160006]		
	the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		
10.	What type of fund is the <i>private fund</i> ?		
	$^{ m C}$ hedge fund $^{ m C}$ liquidity fund $^{ m C}$ private equity fund $^{ m C}$ real estate fund $^{ m C}$ securitized asset fund $^{ m C}$ venture capital for Other private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	und ⁽	•
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 124,811,412		
<u>Owi</u>	<u>nership</u>		
	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount in the organizational documents of the fund).	ount s	set
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ⊙	No C
	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		
<u>You</u>	r Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	\circ	⊚
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	If
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	rivate	е
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		_
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		

Privat	<u>e Offering</u>	Voc	No
	as the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities et of 1933?	©	0
22. If	yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. SER\	VICE PROVIDERS		
Audito	<u>ors</u>		
23 (a	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	No -
23. (a	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S.	•	0
	GAAP?	0	⊙
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses mone auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	ore th	an
	Additional Auditor Information: 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		
	ERNST & YOUNG LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	ST PETERS PORT Guernsey	Yes	Na
	(d) Is the auditing firm an <i>independent public accountant</i> ?	_	
		⊙	
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1438		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	0
		Yes	. No
(g	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	•	0
(h	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> unqualified opinions?	conta	n
	Yes □ No □ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your rewhen the report is available.	spons	е
<u>Prime</u>	<u>Broker</u>		
		Yes	No
24. (a	Does the <i>private fund</i> use one or more prime brokers?	_	6

 $https://crd.finra.org/lad/Content/PrintHist/Adv/Sections/crd_iad_AdvAllSections.aspx? RefNum=\&viewChanges=N\&FLNG_PK=1674177$

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund*

	No Information Filed		
<u>dian</u>			
-	es the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its ets?		es O
use	he answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>pri</i> vs. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately todian.		
	No Information Filed		
<u>nistra</u>	<u>tor</u>	Y	e
) Do	es the <i>private fund</i> use an administrator other than your firm?		•
	he answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses m ninistrator, you must complete questions (b) through (f) separately for each administrator.	ore thai	n
Ad	ditional Administrator Information: 1 Record(s) Filed.		
I	ditional Administrator Information: 1 Record(s) Filed. f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses han one administrator, you must complete questions (b) through (f) separately for each administrator.	more	
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator.	more	
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses	more	
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES	more	
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator:	more	
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES c) Location of administrator (city, state and country):		
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES c) Location of administrator (city, state and country): City: State: Country: ST PETERS PORT Guernsey	Yes I	_
I t	f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES c) Location of administrator (city, state and country): City: State: Country:	Yes I	N¢ ⊙
I t t (((((((((((((((((f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES c) Location of administrator (city, state and country): City: State: Country: ST PETERS PORT Guernsey	Yes I	_
I t t (((((((((((((((((f the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses nan one administrator, you must complete questions (b) through (f) separately for each administrator. b) Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES c) Location of administrator (city, state and country): City: State: Country: ST PETERS PORT Guernsey d) Is the administrator a <i>related person</i> of your firm?	Yes I	_

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes? You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions through (g) separately for each marketer. No Information Filed 1. (a) Name of the private fund: GREAT PLAINS NDT, LP (b) Private fund identification number: (include the "805-" prefix also) 805-9146736410 2. Under the laws of what state or country is the private fund organized: State: Country: Delaware United States 3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filling an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this privature. No Information Filed 4. The private fund (check all that apply; you must check at least one): (C) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (C) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (E) (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (E) (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (E) (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions through (g) separately for each marketer. No Information Filed PRIVATE FUND **Mormation About the **Private Fund** (a) Name of the private fund: GREAT PLAINS NDT, LP (b) **Private fund identification number: (include the "805-" prefix also) 805-9146736410 **Under the laws of what state or country is the **private fund organized: State:
PRIVATE FUND **formation About the **Private Fund** (a) Name of the **private fund: GREAT PLAINS NDT, LP (b) **Private fund identification number: (include the **905-* prefix also) 805-9146736410 **Under the laws of what state or country is the **private fund organized: State:
(a) Name of the private fund: GREAT PLAINS NDT, LP (b) Private fund identification number: (include the "805-" prefix also) 805-9146736410 Under the laws of what state or country is the private fund organized: State: Country: Delaware United States (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filling an umbrella registration, identify the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
(a) Name of the private fund: GREAT PLAINS NDT, LP (b) Private fund identification number: (include the "805-" prefix also) 805-9146736410 Under the laws of what state or country is the private fund organized: State: Country: Delaware United States (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filling an umbrella registration, identify the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
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GREAT PLAINS NDT, LP (b) Private fund identification number: (include the "805-" prefix also) 805-9146736410 . Under the laws of what state or country is the private fund organized: State: Country: Delaware United States . (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filling an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
(b) Private fund identification number: (include the "805-" prefix also) 805-9146736410 Under the laws of what state or country is the private fund organized: State:
(include the "805-" prefix also) 805-9146736410 Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Delaware United States (a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filling an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>priv fund</i> . No Information Filed The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
Under the laws of what state or country is the private fund organized: State: Country: Delaware United States (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this privation. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
. Under the laws of what state or country is the private fund organized: State: Country: Delaware United States . (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
State: Country: United States (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this privation fund. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
Delaware United States (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filling an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this priviound. No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director TOWNSEND GREAT LAKES AND PLAINS GP, LLC (b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>priv fund</i> . No Information Filed The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
No Information Filed The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
 The private fund (check all that apply; you must check at least one): ✓ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
No Information Filed
Yes
(a) To this a llegation for all in a greater feed or agree 2
. (a) Is this a "master fund" in a master-feeder arrangement?
(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed
NO IIIOITIIduoti Filed
Yes

Name of *private fund*:

Private fund identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

8. (a) Is this *private fund* a "fund of funds"?

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also *private funds* or registered investment companies.

(b) If yes, does the *private fund* invest in funds managed by you or by a *related person*?

0 0

9. During your last fiscal year, did the *private fund* invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?

Yes No ○ ⊙

10. What type of fund is the *private fund*?

O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund Other private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS

NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.

11. Current gross asset value of the *private fund*:

\$ 319,878,224

<u>Ownership</u>

12. Minimum investment commitment required of an investor in the *private fund*:

\$ 0

NOTE: Report the amount routinely required of investors who are not your *related persons* (even if different from the amount set forth in the organizational documents of the fund).

13. Approximate number of the *private fund's* beneficial owners:

3

14. What is the approximate percentage of the private fund beneficially owned by you and your related persons:

0%

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds: 0%

Yes No

	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Ad	<u>lvisory Services</u>		
17	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	_
	. ,	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	⊙ If
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the $private$ fund?	0	⊙
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	orivat	e
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	О	\odot
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Pri</u>	vate	<u>Offering</u>		
			Yes	No
21.		the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities of 1933?	⊙	0
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
		CE PROVIDERS		
Au	ditor	<u>s</u>	Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses mo one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	re tha	าก
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm:		
		ERNST & YOUNG LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country:		

	CLEVELAND	Ohio	United States		
				Yes	No
	(d) Is the auditing firm an inc	lependent public accountant?		•	0
	(e) Is the auditing firm regist	ered with the Public Company	Accounting Oversight Board?	•	О
	If yes, Public Company Ac	counting Oversight Board-Ass	signed Number:		
	(f) If "yes" to (e) above, is the Oversight Board in accord		ular inspection by the Public Company Accounting	•	o
				Υe	s No
(g)	Are the <i>private fund's</i> audited fin <i>private fund's</i> investors?	nancial statements for the mos	st recently completed fiscal year distributed to the	•	0
(h)	Do all of the reports prepared by unqualified opinions?	the auditing firm for the <i>priv</i>	ate fund since your last annual updating amendme	<i>ent</i> conta	iin
	⊙ Yes C No C Report Not Yet	Received			
	If you check "Report Not Yet Rec when the report is available.	ceived," you must promptly file	e an amendment to your Form ADV to update you	r respons	se
Prime B	<u>Broker</u>			۷e	s No
24. (a)	Does the <i>private fund</i> use one o	r more prime brokers?		-	
			s (b) through (e) below for each prime broker the u must complete questions (b) through (e) separa		und
		No Inforr	nation Filed		
Custodi	i <u>an</u>			۷e	s No
25. (a)	Does the <i>private fund</i> use any coassets?	ustodians (including the prime	brokers listed above) to hold some or all of its	c	∍ (©
			s (b) through (g) below for each custodian the <i>priv</i> nust complete questions (b) through (g) separately		
		No Inforr	nation Filed		
Adminis	<u>strator</u>			Va	s No
26. (a)	Does the <i>private fund</i> use an ad	ministrator other than your fir	m?	· ·	3 140
(-)	•	is "yes," respond to questions	s (b) through (f) below. If the <i>private fund</i> uses mo	ore than	one
		No Inforr	nation Filed		

27.	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ?
	100%
	Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such <i>person</i> .

Marketers Yes No 28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes? (⊙ You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions (b) through (g) separately for each marketer. No Information Filed

A. PRIVATE FUND

Information About the Private Fund

- 1. (a) Name of the *private fund*:
 - GREAT PLAINS PENSION, LP
 - (b) Private fund identification number: (include the "805-" prefix also) 805-7672207471
- 2. Under the laws of what state or country is the *private fund* organized:

State: Country: Delaware **United States**

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

TOWNSEND GREAT LAKES AND PLAINS GP, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

No Information Filed

- 4. The *private fund* (check all that apply; you must check at least one):
 - (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - 🗹 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

Yes No

- 6. (a) Is this a "master fund" in a master-feeder arrangement?
 - (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

	No Information Filed	
		Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0.0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fun</i> Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single 5 D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	Schedule
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to th 7.B.(1), for each of the feeder funds answer the following questions:	is Section
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investing all of its assets in a single master fund.	ster fund" ests
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes No
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	● C pooled
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
		Yes No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0 0
10.	What type of fund is the <i>private fund</i> ?	
	$^{\circ}$ hedge fund $^{\circ}$ liquidity fund $^{\circ}$ private equity fund $^{\circ}$ real estate fund $^{\circ}$ securitized asset fund $^{\circ}$ venture capital Other <i>private fund</i> : REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund 🖸
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> : \$ 314,260,201	
<u>Ow</u>	<u>nership</u>	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the argorithm in the organizational documents of the fund).	nount set
13.	Approximate number of the <i>private fund's</i> beneficial owners:	

14.	0% W	hat is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a 09) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	0
16.	09	hat is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
<u>You</u>	ır A	Advisory Services	V	
47		N. A. a. a. a. a. b. d. t. a. b. d. t. a. t. a. t. a. C. a. d.	Yes	No
1/.	-) Are you a subadviser to this <i>private fund</i> ?	\circ	⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	If
		No Information Filed		
			Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	orivat	te
		No Information Filed		
			Yes	No
19	Δr	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?		_
13.			О	⊚
	/۷0	OTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	09	oproximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	vat	e Offering		
			Yes	No
21.		as the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities at of 1933?	⊙	0
22.	If	yes, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. SI	ER\	VICE PROVIDERS		
Aud	dito	ors — — — — — — — — — — — — — — — — — — —		
	,	Y (d) A valle valle for all of control plants on the little of the first of the fir	Yes	No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	⊚	\circ
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses mo one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	re tha	an
		Additional Auditor Information: 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		

	(b)	Name of the auditing firm	n:			
		ERNST & YOUNG LLP				
	(c)		ng firm's office responsible f	for the <i>private fund's</i> audit (city, state and country):		
		City: CLEVELAND	State: Ohio	Country: United States		
		CLIVED	oc	onica states	Yes	No
	(d)	Is the auditing firm an in	dependent public accountan	<i>t</i> ?	•	0
	(e)	Is the auditing firm regis	tered with the Public Compa	ny Accounting Oversight Board?	•	0
		If yes, Public Company A	accounting Oversight Board-A	Assigned Number:		
	(f)	If "yes" to (e) above, is t Oversight Board in accor	•	egular inspection by the Public Company Accounting	•	0
(g)		ne <i>private fund's</i> audited fi te fund's investors?	inancial statements for the n	nost recently completed fiscal year distributed to the	Ye ⊙	s No
(h)		of the reports prepared balified opinions?	by the auditing firm for the $\it p$	rivate fund since your last annual updating amendmen	it conta	in
	⊙ Ye	es C No C Report Not Ye	et Received			
		i check "Report Not Yet Re the report is available.	eceived," you must promptly	file an amendment to your Form ADV to update your	respons	ie:
Prime	Broker	:				
24. (a)	Does	the <i>private fund</i> use one o	or more prime brokers?		Ye	s No
()	If the uses.	answer to question 24.(a) is "yes," respond to question	ons (b) through (e) below for each prime broker the <i>pr</i> you must complete questions (b) through (e) separate		und
			No Info	ormation Filed		
Custod	ian					
					Ye	s No
25. (a)	Does assets	•	custodians (including the pri	me brokers listed above) to hold some or all of its	О	•
		If the <i>private fund</i> uses m		ons (b) through (g) below for each custodian the <i>priva</i> I must complete questions (b) through (g) separately f		
			No Info	ormation Filed		
	1					
Admini	strato	<u>r</u>				
					Ye	s No

26. (a) Does the *private fund* use an administrator other than your firm?

		If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
		No Information Filed
27.		ing your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an ninistrator, that is not your <i>related person</i> ?
	obta	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including aining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and calculations (including allocations) was the valuation determined by such <i>person</i> .
Mar	kete	<u>ers</u> Yes No
28.	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?
	, ,	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
		No Information Filed
Info 1.	(a)	Name of the <i>private fund</i> : JDPT FUND, L.P. Private fund identification number: (include the "805-" prefix also) 805-4728834775
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:
		State: Country: Delaware United States
3.		Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
	-	me of General Partner, Manager, Trustee, or Director
	JDF	PT MANAGER, LLC
	(b)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .
		No Information Filed
4.	The	private fund (check all that apply; you must check at least one):
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company of 1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company of 1940

No Information Filed		
	Yes	No
6. (a) Is this a "master fund" in a master-feeder arrangement?	0	•
(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this pri	vate fund?	
No Information Filed		
	Yes	No
(c) Is this a "feeder fund" in a master-feeder arrangement?		⊙
(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private Name of private fund:	e fund inve	sts?
Private fund identification number: (include the "805-" prefix also)		
NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a since D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	ngle Sched	ule
7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions 7.B.(1), for each of the feeder funds answer the following questions:	to this Sec	ction
No Information Filed		
	est all or	
NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") investing substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund.	"master fu) invests	
substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund.	"master fu) invests	ind"
substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this <i>private fund</i> a "fund of funds"?	"master fu) invests Yes	No C
substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund.	"master fu) invests Yes	No C
 substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in 	"master fu) invests Yes	No C
 substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. 	"master fu) invests Yes © other poole	No C
 substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. 	"master fu) invests Yes other poole C Yes	s No Ced
 substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i>? 9. During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered und the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e 	"master fu) invests Yes other poole C Yes	No Ced ⊙
substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ? 9. During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered und	"master fu) invests Yes other poole Yes er O)?	No C d • No
substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ? 9. During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered und the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e 10. What type of fund is the <i>private fund</i> ? C hedge fund C liquidity fund C private equity fund C real estate fund S securitized asset fund C venture of	"master fu) invests Yes other poole Yes er O)?	No C d • No
substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series substantially all of its assets in a single master fund. 8. (a) Is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in investment vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? 9. During your last fiscal year, did the private fund invest in securities issued by investment companies registered und the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e. 10. What type of fund is the private fund? C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture of Other private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCT	"master fu) invests Yes other poole Yes er O)?	No C d • No

 $https://crd.finra.org/lad/Content/PrintHist/Adv/Sections/crd_iad_AdvAllSections.aspx? RefNum=\&viewChanges=N\&FLNG_PK=1674177$

12. Minimum investment commitment required of an investor in the $\it private fund$:

	\$ 0			
		E: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount in the organizational documents of the fund).	ount :	set
13.	Appı 2	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
V		Library Comition		
100	JF AQ	lvisory Services	V	N.
17	(2)	Are you a subadviser to this <i>private fund</i> ?	Yes	
17.			$\mathcal{O}_{\mathcal{I}}$	⊚
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private i</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	It .
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the $private$ fund?	0	•
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>p</i> fund. If the answer to question 18.(a) is "no," leave this question blank.	orivat	е
		No Information Filed		
			Yes	No
10	۸ra	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	-	-
19.			О	⊚
	NOI	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Appi	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Pri	vate	<u>Offering</u>		
			Yes	No
21.		the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities of 1933?	•	0
		and the three trade Coulds From D. Claratoria.		
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
22.	_	rm D file number		\neg

<u>Auditors</u>

Yes No

23. (a) (1) Are the *private fund's* financial statements subject to an annual audit?

9 0

		uditing min, you must con	inplete questions (b) tillough	n (f) separately for each auditing firm.		
	Addi	tional Auditor Informati	tion: 1 Record(s) Filed.			
				uestions (b) through (h) below. If the <i>private fund</i> uses as (b) through (f) separately for each auditing firm.		
	(b)	Name of the auditing firm	n:			
		ERNST & YOUNG				
	(c)	The location of the auditir	ing firm's office responsible f	or the private fund's audit (city, state and country):		
		City:	State:	Country:		
		CLEVELAND	Ohio	United States	Yes	No
	(d)	Is the auditing firm an inc	dependent public accountant	t?	_	0
	(e)	Is the auditing firm regist	stered with the Public Compa	ny Accounting Oversight Board?	•	0
			·			Ĭ
		If yes, Public Company Ad 42	accounting Oversight Board-A	Assigned Number:		
	(f)	If "yes" to (e) above, is the Oversight Board in accord		egular inspection by the Public Company Accounting	•	0
		ne <i>private fund's</i> audited fing te fund's investors?	inancial statements for the n	nost recently completed fiscal year distributed to the	Ye: ⊙	
		of the reports prepared by alified opinions?	by the auditing firm for the p_{i}	rivate fund since your last annual updating amendment	conta	in
	⊙ Ye	s C No C Report Not Yet	et Received			
		check "Report Not Yet Rec the report is available.	eceived," you must promptly	file an amendment to your Form ADV to update your re	spons	æ
e B	roker					
a)	Does	the <i>private fund</i> use one o	or more prime brokers?		Yes	
	If the uses.	answer to question 24.(a)) is "yes," respond to question	ons (b) through (e) below for each prime broker the <i>pri</i> you must complete questions (b) through (e) separately		unc
			No Info	ormation Filed		
odia	a <u>n</u>					
					Ye	S
	Does	the <i>private fund</i> use any c	custodians (including the prir	me brokers listed above) to hold some or all of its	0	
(a)	assets	s?				

No Information Filed

Adı	<u>mini</u>	<u>strator</u> Yes No
26	(a)	Does the private fund use an administrator other than your firm?
20.	(4)	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
		No Information Filed
27.		ing your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an ninistrator, that is not your <i>related person</i> ?
	Incl obta	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including aining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and calculations (including allocations) was the valuation determined by such <i>person</i> .
Ma	rket	
28.	(a)	Yes Not Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
		No Information Filed
A. P	RIVA	TE FUND
Info	orma	tion About the <i>Private Fund</i>
1.	(a)	Name of the <i>private fund</i> :
		KKT, L.P.
	(b)	Private fund identification number:

805-1766052441

2. Under the laws of what state or country is the *private fund* organized:

State: Country: Guernsey

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

KKT GP, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private

No Information Filed

	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Co	ompan	V
	Act of 1940		,
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Co Act of 1940	ompan	У
	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registed	ered.	
	No Information Filed		
		Yes	No
· .	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private</i>	fund?	
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> . Name of <i>private fund</i> :	d inves	sts?
	Private fund identification number: (include the "805-" prefix also)		
7.			
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to th 7.B.(1), for each of the feeder funds answer the following questions:	nis Sec	tion
		nis Sec	tion
	7.B.(1), for each of the feeder funds answer the following questions:	II or ster fu ests	nd"
	7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest al substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investibles all of its assets in a single master fund.	II or ster fu ests Yes	nd"
3.	7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investions.	II or ster fu ests Yes	nd" No
	7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest al substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invesubstantially all of its assets in a single master fund. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other	II or ster fu ests Yes	nd" No
3.	7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investibles assets in a single master fund. (a) Is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also private funds or registered investment companies.	Il or ster fur ests Yes • r pooled	nd" No C d
	7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investibles assets in a single master fund. (a) Is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also private funds or registered investment companies.	Il or ster fu ests Yes • pooled	nd" No C d
3.	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invesubstantially all of its assets in a single master fund. (a) Is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? During your last fiscal year, did the private fund invest in securities issued by investment companies registered under	Il or ster fur ests Yes • pooled	nd" No C d No
	No Information Filed No Information Filed NoTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest al substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "mas for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invesubstantially all of its assets in a single master fund. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ? During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Il or ster fur ests Yes Proposition Yes C	nd" No C d No

11.	Current gross asset value of the <i>private fund</i> : \$ 202,000,000		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amforth in the organizational documents of the fund).	ount	set
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ⊙	No C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 99%		
<u> Υοι</u>	ır Advisory Services	V	N I -
17.	(a) Are you a subadviser to this <i>private fund</i> ?	res	No ⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	privat	e
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	О	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering	Yes	No
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	©	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		

R	SERI	/ICF	DB∪\	/IDERS

Auditor			
)3 (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?		No.
.o. (u)	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	o •
	If the answer to question $23.(a)(1)$ is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses m one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	ore th	an
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		
	ERNST & YOUNG LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	ST PETERS PORT Guernsey	Yes	No
	(d) Is the auditing firm an independent public accountant?	_	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1438		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Yes	. No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	•	0
(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> unqualified opinions?	contai	n
	€ Yes C No C Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your rewhen the report is available.	spons	е
Prime B	<u>roker</u>		
24 (a)	Does the <i>private fund</i> use one or more prime brokers?		No.
- 1. (u)	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>priv</i> uses. If the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately prime broker.		
	No Information Filed		

Custodi	<u>an</u>		V	N
25. (a)		uding the prime brokers listed above) to hold some or all of its	Yes O	NO ⊙
		ond to questions (b) through (g) below for each custodian the <i>private</i> ustodian, you must complete questions (b) through (g) separately for		
		No Information Filed		
A dualini	aturata u			
Admini	<u>strator</u>		Yes	No
26. (a)	Does the <i>private fund</i> use an administrator oth	ner than your firm?	0	0
		ond to questions (b) through (f) below. If the <i>private fund</i> uses more) through (f) separately for each administrator.	than o	ne
		No Information Filed		
obto fee <u>Market</u>	aining any relevant quotes, and (ii) the valuation calculations (including allocations) was the valuaters Does the <i>private fund</i> use the services of some You must answer "yes" whether the <i>person</i> act other solicitor, or similar <i>person</i> . If the answer	rried out the valuation procedure established for that asset, if any, income used for purposes of investor subscriptions, redemptions or distributation determined by such <i>person</i> . eone other than you or your <i>employees</i> for marketing purposes? its as a placement agent, consultant, finder, introducer, municipal advito question 28.(a) is "yes," respond to questions (b) through (g) belone <i>private fund</i> uses more than one marketer you must complete que	Yes Consor or ow for	nd No ⊙
		No Information Filed		
A. PRIVA	TE FUND tion About the <i>Private Fund</i>			
1. (a)	Name of the <i>private fund</i> :			
	KVT, L.P.			
(b)	Private fund identification number: (include the "805-" prefix also)			
	805-4089633764			
2. Unc	er the laws of what state or country is the <i>priva</i>	ate fund organized:		
	State:	Country:		
	Delaware	United States		

h) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed The private fund (check all that apply; you must check at least one): Z (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company kto of 1940. Z (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company kto of 1940. No Information Filed Yes a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed Yes (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund? No Information Filed Yes (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: No Information Filed Yes (c) Is this a "feeder fund" in a master-feeder arrangement or reporting on the funds separately. Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule, 5, Section 7.8, (1) for a master feeder arrangement according to the instructions to this Section, 18, (1), for each of the feeder funds answer the following questions: No Information Filed	lame of General Partner, Manager, Trustee, or Director		
No Information Filed The private fund (check all that apply; you must check at least one): Z (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company ktd of 1940 Z (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company ktd of 1940 No Information Filed Yes a) Is this a "master fund" in a master-feeder arrangement? O If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed Yes C Is this a "feeder fund" in a master-feeder arrangement? O If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: No Information Filed Yes No Information Filed Yes No Information Filed Yes C (3) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: Private fund: Private fund: Private fund: Private fund: Private fund: Private fund identification number: (Include the "805-" prefix also) INOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule of Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund private fund in their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund private fund a "fund of funds"? (Yes A)	VI GP, LLC		
the private fund (check all that apply; you must check at least one): 7. (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company (ct of 1940) 7. (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company (ct of 1940) 8. (a) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company (ct of 1940) 8. (a) Qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company (ct of 1940) 8. (a) Is this a "master fund" in a master-feeder arrangement? 9. (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? 9. (c) Is this a "feeder fund" in a master-feeder arrangement? 9. (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: 9. (e) Is this a "feeder fund" in a master-feeder arrangement? 10. (f) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: 10. (c) Is this a "feeder fund" in a master-feeder arrangement regardless of whether you are filling a single Schedule (include the "805-" prefix also) 10. (d) If yes, what is the name and private fund identification number: 10. (include the "805-" prefix also) 10. (e) Qualifies fund identification number: 10. (include the "805-" prefix also) 10. (e) Qualifies fund identification number: 10. (include the "805-" prefix also) 10. (f) Five number funds a single Schedule D. Section 7.8.(1) for a master-feeder arrangement according to the instructions to this Section 7.8.(1), for each of the feeder funds answer the following questions: 10. (f) Refixed funds a single Schedule D. Section 7.8.(1) for a master-feeder arrangement, one or more funds ("feeder funds") invest) this <i>pri</i>	ivat
7. (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company ctc of 1940 7. (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company ctc of 1940 7. (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company ctc of 1940 8. Is this a "master fund" in a master-feeder arrangement? 8. Is this a "master fund" in a master-feeder arrangement? 9. If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? 8. No Information Filed 7. Yes 8. Is this a "feeder fund" in a master-feeder arrangement? 9. If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: 9. Private fund: 9. Private fund identification number: 9. (Include the "805-" prefix also) 1. (Include the "8	No Information Filed		
7. (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company ctc of 1940 7. (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company ctc of 1940 7. (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company ctc of 1940 8. Is this a "master fund" in a master-feeder arrangement? 8. Is this a "master fund" in a master-feeder arrangement? 9. If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? 8. No Information Filed 7. Yes 8. Is this a "feeder fund" in a master-feeder arrangement? 9. If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: 9. Private fund: 9. Private fund identification number: 9. (Include the "805-" prefix also) 1. (Include the "8			
Act of 1940 7 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 1 (2) qualifies for the exclusion from the definition of investment part and the private fund is registered. No Information Filed 1 (2) Yes 2 (3) Is this a "master fund" in a master-feeder arrangement? 3 (4) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund. 1 (6) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund. 2 (7) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund investing in a master fund or purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of their assets in a single master fund. 1 (7 (7 (8 (1) For purposes of this question in it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. 1 (8 (8 (1) For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also private funds or registered investment companies. 1 (8 (1) For purpose of this questio	ne <i>private fund</i> (check all that apply; you must check at least one):		
Is the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes a) Is this a "master fund" in a master-feeder arrangement? No Information Filed Yes No Information Filed Yes (c) Is this a "feeder fund" in a master-feeder arrangement? It yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? Yes (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedulo, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Sectivible, (i), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund or purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes OCTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled necessary funds on registered investment companies. b) If yes, does the private fund invest in funds managed by you or by a related person? Yes		Compar	ıy
No Information Filed Yes a) Is this a "master fund" in a master-feeder arrangement? No Information Filed Yes (C) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed Yes (C) Is this a "feeder fund" in a master-feeder arrangement? (If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement according to the instructions to this Section 7.B.(1) for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund or purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes a) Is this private fund a "fund of funds"? (C) OTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled neverthered the private fund invest in funds managed by you or by a related person? Yes OUTE: Sor purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled neverthere the private fund invest in funds managed by you or by a related person? Yes		Compar	ny
Is this a "master fund" in a master-feeder arrangement? No Information Filed Yes 1 Is this a "feeder fund" in a master-feeder arrangement? No Information Filed Yes 1 Is this a "feeder fund" in a master-feeder arrangement? If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invest Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Sective. No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund or purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests ubstantially all of their assets in a single master fund. Yes a) Is this private fund a "fund of funds"? Control of the feeder fund invest in funds managed by you or by a related person? Yes Private funds the feeder fund invest in funds managed by you or by a related person? Yes Private funds the feeder fund invest in capacities is requisited investment to manage specietated unders.	st the name and country, in English, of each foreign financial regulatory authority with which the private fund is reg	istered.	
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2, 1:03	3 PM IARD - All Sections [User Name: amay123, OrgID: 160006]		
	the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		
10.	What type of fund is the <i>private fund</i> ?		
	$^{ m C}$ hedge fund $^{ m C}$ liquidity fund $^{ m C}$ private equity fund $^{ m C}$ real estate fund $^{ m C}$ securitized asset fund $^{ m C}$ venture capital for Other private fund: REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	und	⊙
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 43,125,154		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount in the organizational documents of the fund).	ount	set
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 4%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ⊙	No C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 99%		
You	ır Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	\circ	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	If
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	О	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	orivat	æ
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		

Priv	vate Offering	V	NI.
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ⊙	O.
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. SI	ERVICE PROVIDERS		
Aud	<u>litors</u>		
23	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		No
23.	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ⊙	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses m one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	ore tha	an
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: ERNST & YOUNG LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:		
	City: State: Country: CLEVELAND Ohio United States	V 1	
	(d) Is the auditing firm an independent public accountant?	Yes I	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	·	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the	Yes ©	No O
	private fund's investors?(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment unqualified opinions?	contai	n
	© Yes ○ No ○ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your rewhen the report is available.	sponse	9
<u>Pri</u> i	ne Broker		
		Yes	No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	0	•

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund

	No Information Filed
Custodi	
25. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
	No Information Filed
Adminis	<u>strator</u>
	Yes No
26. (a)	Does the <i>private fund</i> use an administrator other than your firm?
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
	No Information Filed
adm 100 Incl obta	ring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an ninistrator, that is not your <i>related person</i> ? 10% Indee only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including alining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and calculations (including allocations) was the valuation determined by such <i>person</i> .
Markete	ers
	Yes No
28. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
	No Information Filed
A. PRIVA	TE FUND
<u>Informa</u>	tion About the <i>Private Fund</i>

(b) *Private fund* identification number:

1. (a) Name of the *private fund*: LAKE CONSTANCE LP

2, 1:0	03 PM	IARD - All Sections [User Name: amay123, OrgID: 160006]		
	(include the "805-" p	prefix also)		
	805-8163083718	,		
	003 0103003710			
2.	Under the laws of what st	state or country is the <i>private fund</i> organized:		
	State:	Country:		
		Guernsey		
		, and the second		
3.	(a) Name(s) of General I	Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		ner, Manager, Trustee, or Director		_
	TOWNSEND LAKE CONST			_
				_
	(b) If filing an <i>umbrella i</i> fund.	registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or man	nage(s) this <i>priva</i>	te
		No Information Filed		_
				_
4.	The private fund (check a	all that apply; you must check at least one):		
٦.		exclusion from the definition of investment company under section 3(c)(1) of the Inves	stment Company	
	Act of 1940	ended in the definition of investment company under section 5(c)(1) of the investment	semene company	
	☑ (2) qualifies for the e I I	exclusion from the definition of investment company under section 3(c)(7) of the Inves	stment Company	
	Act of 1940			
5.	List the name and countr	ry, in English, of each foreign financial regulatory authority with which the private fund	f is registered.	
		No Information Filed		
			Yes N	10
6.	(a) Is this a "master fun-	nd" in a master-feeder arrangement?	0 (9
		ame and <i>private fund</i> identification number (if any) of the feeder funds investing in thi		3/
	(b) If yes, what is the he	No Information Filed		_
		No Information Fried		_
			Vac N	
	(a) Ta this a life and a firm	:	Yes N	10
		d" in a master-feeder arrangement?	_	9
		ame and <i>private fund</i> identification number (if any) of the master fund in which this <i>pi</i>	rivate fund invests	?
	Name of <i>private fund</i>	d:		
	Private fund identific (include the "805-" p			
	(include the 805- p	orenx also)		
	NOTE: Very married as married		a simala Cabadula	
		ee question 6 for each master-feeder arrangement regardless of whether you are filing a master-feeder arrangement or reporting on the funds separately.	a single Schedule	
	D, Section 7.D.(1) for the	, master record arrangement of reporting on the rands separately.		
7.	If you are filing a single S	Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instruct	tions to this Sectic	'n
/.		eeder funds answer the following questions:	Jons to this Settle	4.1
	, ,,			_
		No Information Filed		
		INO THIOTHIBUOH FIECE		

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

8.	(a)	Is this <i>private fund</i> a "fund of funds"?	•	\circ
		E: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other estment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	poole	d
	(b)	If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	•	0
			Yes	No
9.		ing your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	Wha	at type of fund is the <i>private fund</i> ?		
		hedge fund $^{\circ}$ liquidity fund $^{\circ}$ private equity fund $^{\circ}$ real estate fund $^{\circ}$ securitized asset fund $^{\circ}$ venture capital her <i>private fund</i> : REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund	⊙
	NOT	E: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Curi	rent gross asset value of the <i>private fund</i> :		
	\$ 23	35,639,891		
<u>Ow</u>	ners	<u>hip</u>		
12.	Mini \$ 0	mum investment commitment required of an investor in the private fund:		
	NOT	E: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount not the fund).	nount	set
13.	App 1	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	О
16.	Wha 100	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ad	visory Services		
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	No
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	fund.	If
		No Information Filed		
			Yes	No
1.8	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
10.				
10.	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	privat	e

		Ye	s N
	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?	О	(
Ν	IOTE: For purposes of this question, do not consider feeder funds of the private fund.		
	approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
va	te Offering	.,	
	las the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securiti ct of 1933?	Ye es C	5 P
If	f yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
ER	VICE PROVIDERS		
dit	<u>rors</u>		
(:	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Ye	s I
(,	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S.	•	
	GAAP?	С	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	more tl	nan
		more t	nan
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		nan
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		nar
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm:		nar
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country:		nar
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):	es	
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country:		
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: ST. PETERS PORT Guernsey	es Yes ©	No.
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: ST. PETERS PORT Guernsey (d) Is the auditing firm an independent public accountant?	es	No
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: ST. PETERS PORT Guernsey (d) Is the auditing firm an independent public accountant?	es Yes ©	No.
	one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: ST. PETERS PORT Guernsey (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number:	es Yes ©	No.
	Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: ST. PETERS PORT Guernsey (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1438 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting	Yes •	No 0
(9	Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund us more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: ST. PETERS PORT Guernsey (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1438 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting	Yes •	No O O

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime E	Broker		•	res No
24. (a)	Does	the <i>private fund</i> use one or more prime brokers?	'	C O
	uses.	answer to question 24.(a) is "yes," respond to questions (b) through (e) If the <i>private fund</i> uses more than one prime broker, you must complete broker.	·	fund
		No Information Filed		
Custodi	<u>ian</u>			
25. (a)	Does assets	the <i>private fund</i> use any custodians (including the prime brokers listed a	ague) to hold come or all of its	res No ○ ⊙
	If the	answer to question 25.(a) is "yes," respond to questions (b) through (g) If the <i>private fund</i> uses more than one custodian, you must complete qu		
		No Information Filed		
26. (a)	If the admir	the <i>private fund</i> use an administrator other than your firm? answer to question 26.(a) is "yes," respond to questions (b) through (f) istrator, you must complete questions (b) through (f) separately for each tional Administrator Information: 1 Record(s) Filed.	below. If the <i>private fund</i> uses more tha	⊙ C an one
		ne answer to question 26.(a) is "yes," respond to questions (b) through (none administrator, you must complete questions (b) through (f) separa		
	(b)	Name of administrator: NORTHERN TRUST INTERNATIONAL FUND ADMINISTRATION SERVICES		
	(c)	Location of administrator (city, state and country):		
		City: State: ST PETER PORT	Country: Guernsey	
	(d)	Is the administrator a <i>related person</i> of your firm?	Yes O	No ⊙
	(e)	Does the administrator prepare and send investor account statements to	the <i>private fund's</i> investors?	
		$\ensuremath{\bullet}$ Yes (provided to all investors) $\ensuremath{\bullet}$ Some (provided to some but not all investors)	investors) O No (provided to no	
	(f)	If the answer to question 26.(e) is "no" or "some," who sends the invest private fund's investors? If investor account statements are not sent to respond "not applicable."		

27.	During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as ar
	administrator, that is not your related person?

100%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

Marketers

Yes No

- 28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?
 - **©** You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions (b)

No Information Filed

A. PRIVATE FUND

Information About the Private Fund

1. (a) Name of the *private fund*:

LAKE TAHOE II, L.P.

(b) Private fund identification number:

(include the "805-" prefix also)

through (g) separately for each marketer.

805-3009989365

2. Under the laws of what state or country is the *private fund* organized:

State:

Country:

Delaware

United States

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

LAKE TAHOE II GP, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

No Information Filed

- 4. The *private fund* (check all that apply; you must check at least one):
 - (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

Yes No

(a) Is this a "master fund" in a master-feeder arrangement?

- (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

	No Information Filed	
		Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0.0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> . Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single SD, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.	Schedule
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to the 7.B.(1), for each of the feeder funds answer the following questions:	nis Section
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) investing all of its assets in a single master fund.	ster fund" ests
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes No
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	o C
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
		Yes No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0 0
10.	What type of fund is the <i>private fund</i> ?	
	$^{\circ}$ hedge fund $^{\circ}$ liquidity fund $^{\circ}$ private equity fund $^{\circ}$ real estate fund $^{\circ}$ securitized asset fund $^{\circ}$ venture capital Other <i>private fund</i> : REAL ESTATE FUND OF FUNDS INVESTING IN REAL ESTATE-RELATED INVESTMENT PRODUCTS	fund 🤨
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> : \$ 99,115,540	
<u>Ow</u>	<u>rnership</u>	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the argorithm in the organizational documents of the fund).	nount set
13.	Approximate number of the <i>private fund's</i> beneficial owners:	

14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ⊙	No C
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Ac	dvisory Services	Vaa	Na
17	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	
17.		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private</i> the answer to question 17.(a) is "no," leave this question blank.	C fund.	⊙ If
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the fund. If the answer to question 18.(a) is "no," leave this question blank.	orivat	e
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	O	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Pri</u>	<u>vate</u>	<u>Offering</u>		
21.		s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities of 1933?	Yes ⊙	No C
22	If v	res, provide the <i>private fund's</i> Form D file number (if any):		
22.		No Information Filed		
	ERVI dito r	CE PROVIDERS		
			Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses moone auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	re tha	in
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		

	(b)								
		ERNST & YOUNG LLP							
	(c)	The location of the auditing	ng firm's office responsible	e for the <i>pri</i>	vate fund's audit	(city, state and	country):		
		City:	State:	•	Country:	. ,,	,,		
		CLEVELAND	Ohio		United States				
								Yes	No
	(d)	Is the auditing firm an inc	dependent public accounta	ant?				⊚	О
	(e)	Is the auditing firm regist	ered with the Public Comp	oany Accour	iting Oversight B	oard?		•	o
		If yes, Public Company Ad	ccounting Oversight Board	l-Assigned N	lumber:				
		If "yes" to (e) above, is the Oversight Board in accord	he auditing firm subject to dance with its rules?	regular ins	pection by the Pu	ıblic Company A	ccounting	•	c
(a) A	re th	e <i>private fund'</i> s audited fi	nancial statements for the	most recer	tly completed fis	cal vear distribu	ted to the		s No
		e fund's investors?	numeral statements for the	most recei	iciy completed no	car year alseriba	ted to the	•	0
	o all		y the auditing firm for the	private fun	d since your last	annual updatina	amendmen	t conta	ain
	nqua	lified opinions?		•	,	,			
u			t Received		,	,			
u G	Yes	C No C Report Not Yes				, -		respon	se
u G	Yes	C No C Report Not Yes	t Received ceived," you must prompt			, -		respon	se
u G	Yes	check "Report Not Yet Re				, -		respon	se
u G	Yes f you when	check "Report Not Yet Re				, -		respon	se
u If w e Bro	Yes f you when to oker	S O No O Report Not Yet Check "Report Not Yet Rethe report is available.	ceived," you must prompt			, -			se es No
u If w e Bro	Yes f you when oker ooes t	check "Report Not Yet Retthe report is available.	ceived," you must prompt or more prime brokers?	ly file an an	endment to your	Form ADV to u	pdate your i	Ye	s No
u G If w e Bro (a) D If u	yes f you when oker ooes t f the sses.	check "Report Not Yet Retthe report is available. The private fund use one of answer to question 24.(a)	ceived," you must prompt	ly file an an	nendment to your	Form ADV to up	pdate your i	Ye C ivate f	es No o ound
u G If w e Bro (a) D If u	yes f you when oker ooes t f the sses.	check "Report Not Yet Retthe report is available. The private fund use one of answer to question 24.(a) If the private fund uses m	r more prime brokers? Is "yes," respond to ques ore than one prime broke	ly file an an	rough (e) below f	Form ADV to up	pdate your i	Ye C ivate f	es No D ⊙ Gund
u G If w e Bro (a) D If u	Yes f you when a south of the ses. I	check "Report Not Yet Retthe report is available. The private fund use one of answer to question 24.(a) If the private fund uses m	r more prime brokers? Is "yes," respond to ques ore than one prime broke	ly file an an tions (b) th r, you must	rough (e) below f	Form ADV to up	pdate your i	Ye C ivate f	es No o ound
u u iii iii ii ii ii ii ii ii ii ii ii i	Yes f you when a south of the ses. I	check "Report Not Yet Retthe report is available. The private fund use one of answer to question 24.(a) If the private fund uses m	r more prime brokers? Is "yes," respond to ques ore than one prime broke	ly file an an tions (b) th r, you must	rough (e) below f	Form ADV to up	pdate your i	Y c <i>c</i> <i>ivate f</i> lly for c	es No o ound
u u u i i i i i i i i i i i i i i i i i	Yes f you when to be	check "Report Not Yet Retthe report is available. The private fund use one of answer to question 24.(a) If the private fund uses more broker.	r more prime brokers? Is "yes," respond to ques ore than one prime broke	tions (b) the r, you must	rough (e) below foomplete question	or each prime bins (b) through (pdate your in the poly in the	Y c <i>c</i> <i>ivate f</i> lly for c	s No
u u if	Yes f you when to be	check "Report Not Yet Retthe report is available. The private fund use one of answer to question 24.(a) If the private fund uses mishories. The private fund use any of the private fund uses mishories.	or more prime brokers? is "yes," respond to ques ore than one prime broker	tions (b) the r, you must rime broker tions (b) the	rough (e) below foomplete question	or each prime bins (b) through (roker the price of	Ye Crivate f Ily for a	es No iund each

26. (a) Does the *private fund* use an administrator other than your firm?

Yes No

		If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
		No Information Filed
27.	adm 100	
	obta	lude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including aining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and calculations (including allocations) was the valuation determined by such <i>person</i> .
Ma	rkete	<u>ers</u> Yes No
28.	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
		No Information Filed
		1 2 3 >> Funds per Page: 15 V Total Funds: 37

SECTION 7.B.(2) Private Fund Reporting

No Information Filed

Item 8 Participation or Interest in Client Transactions

In this Item, we request information about your participation and interest in your *clients*' transactions. This information identifies additional areas in which conflicts of interest may occur between you and your *clients*. Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in during the next year.

Like Item 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.

Pro	priet	ary Interest in <i>Client</i> Transactions				
A.	Do y	you or any related person:	Yes	No		
	(1)	buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	\circ	\odot		
	(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory <i>clients</i> ?	\odot	O		
	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	•	0		
Sale	es In	terest in <i>Client</i> Transactions				
В.	Do y	you or any related person:	Yes	No		
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	•	0		
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	•	О		
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	•		
Inv	estm	ent or Brokerage Discretion				
C.	Do y	you or any related person have discretionary authority to determine the:	Yes	No		
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	\circ		
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	⊚	\circ		
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	\circ		
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	0		
D.	If yo	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	•	0		
E.	Do y	you or any related person recommend brokers or dealers to clients?	•	0		
F.	If yo	ou answer "yes" to E. above, are any of the brokers or dealers <i>related persons</i> ?	0	•		
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	0	•		
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	0	0		
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	•	0		
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	•	0		
I.	Do you or any <i>related person</i> , including any <i>employee</i> , directly or indirectly, receive compensation from any <i>person</i> (other than you or any <i>related person</i>) for <i>client</i> referrals?					
	In y	our response to Item 8.I., do not include the regular salary you pay to an employee.				
	Iten	esponding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in a n 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is t t in part, on the number or amount of client referrals.				

to clients?

(1) you act as a qualified custodian

/22, 1:	03 PI	M	IARD - All Sections [User Name: amay123, OrgID: 160006]		
Item	9 C	ustody			
		em, we ask you whether you or a <i>related</i> e Investment Company Act of 1940) asse	person has custody of client (other than clients that are investment companies ats and about your custodial practices.	registe	ered
Α.	(1)	Do you have <i>custody</i> of any advisory <i>cli</i>	ients':	Yes	No
		(a) cash or bank accounts?		•	0
		(b) securities?		•	0
	ded advi	uct your advisory fees directly from your	SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because clients' accounts, or (ii) a related person has custody of client assets in connect you have overcome the presumption that you are not operationally independent (i)) from the related person.	ion wit	
	(2)	If you checked "yes" to Item 9.A.(1)(a) of <i>clients</i> for which you have <i>custody</i> :	or (b), what is the approximate amount of <i>client</i> funds and securities and total	numbe	er
		U.S. Dollar Amount	Total Number of <i>Clients</i>		
		(a) \$ 8,452,548,153	(b) 37		
	you! you!	r clients' accounts, do not include the am r related person has custody of client ass hose assets and number of those clients i	SEC and you have custody solely because you deduct your advisory fees directly rount of those assets and the number of those clients in your response to Item 9 sets in connection with advisory services you provide to clients, do not include thin your response to 9.A.(2). Instead, include that information in your response to).A.(2). e amo	unt
В.	(1)	In connection with advisory services yo advisory <i>clients'</i> :	u provide to <i>clients</i> , do any of your <i>related persons</i> have <i>custody</i> of any of your	Yes	No
		(a) cash or bank accounts?		\odot	0
		(b) securities?		⊙	О
	You	are required to answer this item regardle	ess of how you answered Item 9.A.(1)(a) or (b).		
	(2)	If you checked "yes" to Item 9.B.(1)(a) of <i>clients</i> for which your <i>related persons</i>	or (b), what is the approximate amount of <i>client</i> funds and securities and total is have <i>custody</i> :	numbe	<u>:</u> r
		U.S. Dollar Amount	Total Number of <i>Clients</i>		
		(a) \$ 8,452,548,153	(b) 37		
C.		ou or your <i>related persons</i> have <i>custody</i> ck all the following that apply:	of <i>client</i> funds or securities in connection with advisory services you provide to <i>c</i>	:lients,	
	(1)	A qualified custodian(s) sends account supplies vehicle(s) you manage.	statements at least quarterly to the investors in the pooled investment	✓	
	(2)	· · · · =	s annually the pooled investment vehicle(s) that you manage and the audited the investors in the pools.	V	
	(3)	An independent public accountant cond	ucts an annual surprise examination of client funds and securities.		
	(4)	An <i>independent public accountant</i> prepa your <i>related persons</i> are qualified custo	ares an internal control report with respect to custodial services when you or dians for <i>client</i> funds and securities.		
	or e	xamination or prepare an internal contro	list in Section 9.C. of Schedule D the accountants that are engaged to perform to I report. (If you checked Item 9.C.(2), you do not have to list auditor information with respect to the private funds you advise in Section 7.	n in	
	Do :	you or your related person(s) act as gual	ified custodians for your clients in connection with advisory convices you provide	Voc	N.

(2) your related person(s) act as qualified custodian(s)

⊙

If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

- E. If you are filing your *annual updating amendment* and you were subject to a surprise examination by an *independent public accountant* during your last fiscal year, provide the date (MM/YYYY) the examination commenced:
- F. If you or your *related persons* have *custody* of *client* funds or securities, how many *persons*, including, but not limited to, you and your *related persons*, act as qualified custodians for your *clients* in connection with advisory services you provide to *clients*?

 7

SECTION 9.C. Independent Public Accountant

No Information Filed

Item 10 Control Persons

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

Yes No

A. Does any *person* not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, *control* your management or policies?

 \circ

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

SECTION 10.B. Control Person Public Reporting Companies

- B. If any *person* named in Schedules A, B, or C, or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please provide the following information (you must complete a separate Schedule D Section 10.B. for each public reporting company):
 - (1) Full legal name of the public reporting company:

AON PLC

(2) The public reporting company's CIK number (Central Index Key number that the SEC assigns to each reporting company):

315293

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A. (2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

You	must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.		
		Yes	No
Do	any of the events below involve you or any of your supervised persons?	О	⊙
For	"yes" answers to the following questions, complete a Criminal Action DRP:		
A.	In the past ten years, have you or any advisory affiliate:	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0	\odot
	(2) been charged with any felony?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your to Item 11.A.(2) to charges that are currently pending.	respoi	nse
В.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	c	⊙
	(2) been charged with a misdemeanor listed in Item 11.B.(1)?	0	•
For	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your it to Item 11.B.(2) to charges that are currently pending. "yes" answers to the following questions, complete a Regulatory Action DRP:	respoi	nse
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	•
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	•
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	•
	(5) imposed a civil money penalty on you or any <i>advisory affiliate</i> , or <i>ordered</i> you or any <i>advisory affiliate</i> to cease and desist from any activity?	0	•
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever <i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	•
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	0	•
	(3) ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related	О	•

	(5)	activity? ever denied, suspended, or revoked your or any <i>advisory affiliate's</i> registration or license, or otherwise prevented you or any <i>advisory affiliate</i> , by <i>order</i> , from associating with an <i>investment-related</i> business or restricted your or any	0	0
		advisory affiliate's activity?		
E.	Has	any self-regulatory organization or commodities exchange ever:		
	(1)	found you or any advisory affiliate to have made a false statement or omission?	0	•
	(2)	found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	•
	(3)	found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4)	disciplined you or any <i>advisory affiliate</i> by expelling or suspending you or the <i>advisory affiliate</i> from membership, barring or suspending you or the <i>advisory affiliate</i> from association with other members, or otherwise restricting your or the <i>advisory affiliate's</i> activities?	0	•
F.		an authorization to act as an attorney, accountant, or federal contractor granted to you or any <i>advisory affiliate</i> ever n revoked or suspended?	0	•
G.		you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part tem 11.C., 11.D., or 11.E.?	0	•
For '	'yes'	answers to the following questions, complete a Civil Judicial Action DRP:		
н.	(1)	Has any domestic or foreign court:	Yes	No
		(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	0	•
		(b) ever <i>found</i> that you or any <i>advisory affiliate</i> were <i>involved</i> in a violation of <i>investment-related</i> statutes or regulations?	0	•
		(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	•
	(2)	Are you or any <i>advisory affiliate</i> now the subject of any civil <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•

Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No
A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	\circ	0
If "	yes," you do not need to answer Items 12.B. and 12.C.		
В.	Do you:		
	(1) <i>control</i> another investment adviser that had regulatory assets under management (calculated in response to Item 5.F. (2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	О
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	О
C.	Are you:		
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	O

Schedule A

Direct Owners and Executive Officers

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 5. Do you have any indirect owners to be reported on Schedule B? Yes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75% A 5% but less than 10% C 25% but less than 50% E 75% or more
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Status	Date Title or Status Acquired MM/YYYY	•	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
WHITE, BRIAN, MICHAEL	I	CHIEF FINANCIAL OFFICER	01/2015	NA	N		2711192
GTCR/AAM BLOCKER CORP	DE	MEMBER	12/2017	E	Υ	N	45-3337009
WEIHRAUCH, RONALD, LEE	I	CHIEF OPERATING OFFICER	03/2018	NA	Y	N	5655016
May, Aimee, Brooke	I	CHIEF COMPLIANCE OFFICER	12/2019	NA	N	N	7214282
FRAMMARTINO, ANTHONY, DEMETRIO	I	PRESIDENT	05/2022	NA	Y	N	4623701

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	1	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
AON CONSULTING, INC.	DE	GTCR/AAM BLOCKER CORP	OWNER	12/2017	E	Υ	N	22-2232264
AON PLC	FE	AON US INTERNATIONAL HOLDINGS LIMITED	OWNER	08/1986	Е	Y	Y	36-3051915
AON GROUP, INC	DE	AON CONSULTING, INC.	OWNER	12/2018	Е	Υ	N	52-0969822
AON CORPORATION	DE	AON GROUP, INC	OWNER	12/2018	Е	Υ	N	36-3051915
AON GLOBAL HOLDINGS LIMITED	FE	AON CORPORATION	OWNER	01/2015	Е	Υ	N	98-1199820
AON US INTERNATIONAL HOLDINGS LIMITED	FE	AON GLOBAL HOLDINGS LIMITED	OWNER	12/2018	Е	Y	N	98-1117371

Schedule D - Miscellaneous

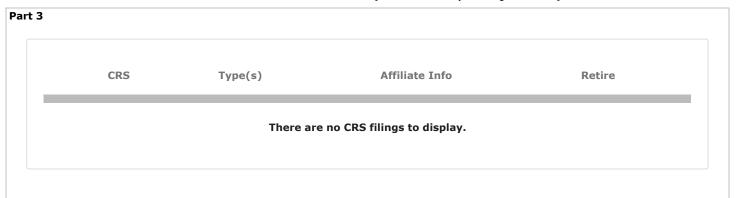
You may use the space below to explain a response to an Item or to provide any other information.

ITEM 5F(2); ITEM 7.B.: TOWNSEND RELIES ON THIRD PARTIES TO PROVIDE ASSET VALUATIONS. SOME OF THESE PARTIES TAKE MORE THAN 90 DAYS AFTER THE CALENDAR YEAR END TO PROVIDE SUCH VALUATIONS. AS A RESULT, TOWNSEND HAS CALCULATED ITS RESPONSE TO QUESTION 5F(2) USING SEPTEMBER 30, 2021 VALUATIONS. TOWNSEND HAS CALCULATED ITS RESPONSE TO ITEM 7.B. USING SEPTEMBER 30, 2021 VALUATIONS. IF FIGURES CHANGE MATERIALLY FROM SEPTEMBER 30, 2021 TO DECEMBER 31, 2021, TOWNSEND WILL PROMPTLY UPDATE ITS RESPONSE TO QUESTION 5F(2) AND APPLICABLE ITEMS IN 7.B. ITEM 8A(2): TOWNSEND DIRECTORS, OFFICERS, EMPLOYEES AND RELATED PERSONS MAY DIRECTLY INVEST IN SECURITIES THAT ARE RECOMMENDED TO CLIENTS, TOWNSEND ACTS AS INVESTMENT MANAGER FOR THE LIMITED PARTNERSHIPS THAT ARE IDENTIFIED IN THIS SCHEDULE D. AN AFFILIATE OF TOWNSEND MAY SERVE AS THE GENERAL PARTNER OR MANAGING MEMBER AND THEREFORE MAY TAKE SMALL EQUITY INTERESTS IN THOSE LIMITED PARTNERSHIPS. BECAUSE THE LIMITED PARTNERSHIPS THAT ARE MANAGED BY TOWNSEND OFTEN INVEST ALONGSIDE TOWNSEND'S INSTITUTIONAL CLIENTS, SOME OF TOWNSEND'S RELATED PERSONS INDIRECTLY BUY OR SELL (THROUGH THE LIMITED PARTNERSHIPS THAT TOWNSEND MANAGES) SECURITIES THAT ARE RECOMMENDED TO CLIENTS. DEPENDING ON THE TYPE OF INVESTMENT BEING MADE AND THE PARTICULAR NEEDS OF DIFFERENT CLIENTS, RECOMMENDATIONS TO CLIENTS MAY NOT ALWAYS BE MADE AT THE TIME THAT LIMITED PARTNERSHIPS MANAGED BY TOWNSEND ACQUIRE AN INTEREST. ITEM 8B(2): TOWNSEND (1) HAS CREATED SEVERAL CUSTOMIZED VEHICLES FOR WHICH A RELATED PERSON SERVES AS THE GENERAL PARTNER TO ADDRESS SPECIFIC OBJECTIVES OF CERTAIN CLIENTS AND MAY CREATE SIMILAR VEHICLES IN THE FUTURE; (2) HAS CREATED AND MAY CREATE IN THE FUTURE POOLED VEHICLES TO PERMIT CLIENTS TO ACCESS SPECIFIED OPPORTUNITIES THAT MULTIPLE CLIENTS WOULD NOT OTHERWISE BE ABLE TO ACCESS; AND (3) HAS CREATED AND MAY CREATE IN THE FUTURE POOLED VEHICLES IN WHICH CLIENTS OF TOWNSEND INVEST. In item 7, we indicate that we have an affiliate that is an insurance company or agency. Townsend is a whollyowned indirect subsidiary of Aon plc, as such, Townsend is affiliated with various insurance agencies.

Schedule R	
	No Information Filed

DRP Pages	
CRIMINAL DISCLOSURE REPORTING PAGE (ADV)	
No Information Filed	
REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)	
No Information Filed	
CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)	
No Information Filed	

Part 2	
Exemption from brochure delivery requirements for SEC-registered advisers	
SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you f delivering a brochure to <i>all</i> of your advisory clients, you do not have to prepare a brochure.	rom
Yes	No
Are you exempt from delivering a brochure to all of your clients under these rules?	•
If no, complete the ADV Part 2 filing below.	
Amend, retire or file new brochures:	



Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any person having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY
AIMEE MAY 09/06/2022

Printed Name: Title:

AIMEE MAY CHIEF COMPLIANCE OFFICER

Adviser CRD Number:

160006

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any person having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

Printed Name: Title:

Adviser CRD Number:

160006

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